





## 1. Nominee Application Form

Pell                      Ann                                              Wardrop                                              MD  
**First                                      Middle                                              Last                                              Credentials**

Are you in private practice or an academic? Private practice

ELECTED POSITION FOR WHICH YOU ARE APPLYING: Board of Directors

**Deadline for submission is midnight DECEMBER 7, 2015 (no extensions).** Please note that if a nominee does not submit the requested items by the deadline date, he/she will not be considered for an elected position.

Following is a list of documents which all nominees are required to submit.

1. Nominee Application and Questionnaire Form and signed statement agreeing to adhere to all aspects of the AAO-HNS/F Code for Interactions with Companies (*see last page and item 1.4 / A full copy of the Code is published on the AAO-HNS website*)
2. Truncated CV (3 page maximum; Word format only; **must be submitted on the official CV template**)
3. One-page biographical sketch (Word format only on provided template)
4. Confidential questionnaire which is modeled after hospital medical staff application forms
5. Completed online AAO-HNS Financial and Intellectual Disclosure form (can be located at [www.entnet.org/disclose](http://www.entnet.org/disclose)); see AAO-HNS Disclosure Resolution Policy.

Your responses to the following questions will be reviewed by the Nominating Committee as part of the nominee application process. Responses must be submitted in writing and returned with the items noted above. **All documents must be submitted via email to a member of the Nominating Committee by the December 7 deadline to qualify.**

**Please include your written responses to all of the following questions for the position for which you are applying. If more space is needed, you may add another page.**

▪ Do you fully understand the job description and are you willing to serve the full term of the position?  
Yes, I understand the position and am willing to serve. \_\_\_\_\_

▪ Do you fully understand the time commitments for the position you are considering, and are you willing and able to meet the expected time commitments?  
Yes, I am willing to commit the time needed to serve in this position. \_\_\_\_\_

▪ What experience do you have with Academy committees, board membership, or other specialty organizations that uniquely qualify you for the position for which you are being considered?

As a founding member of the WIO governing council, I have been very involved in the formation of the WIO section and the structuring of the section. I am treasurer of the WIO, WIO Governing Council member, Chair of the Endowment Committee and immediate past chair of the Sleep Disorders Committee. As I am starting my second term on the FISC, I have current insight as to the financial strengths and challenges of the Academy.

▪ What experience do you have with hospital leadership, medical staff, community service, or other non-otolaryngology organizations that uniquely qualify you for the position for which you are being considered?"

During my twenty years with a multispecialty group, I served on the board of directors and was treasurer of the group. As treasurer, I led the financial oversight process for the group and served as chair of the Physician Benefits committee. This committee chose and monitored the pension plan manager and restructured the physician disability plan. During this time, I completed the Physician Leadership Training program, a 60 hour program sponsored by the University of Kentucky. I have served two terms as Chair of Otolaryngology for St Joseph Hospital and am now the Medical Director for St Joseph Sleep Center, As Medical Director, I have considerable involvement in the management and financial decisions of the center.

Currently I also manage my own company, Otosleep.

- What is your primary motivation for considering running for this position?  
Otolaryngologists have an amazing range of practice and subspecialty options available to them; however, that diversity can lead to fragmentation. Given the many challenges we face in our practices, we must be united in working to benefit our patients and our members. I would like to help lead our Academy through the changes ahead with an emphasis on unity of the specialty.
  
- What problems, if any, do you anticipate in fulfilling the requirements for this position?  
There is a possibility that I may not be able to attend the 2015 annual meeting.
  
- Do you understand the aspects of the \*AAO-HNS/F Code for Interactions with Companies which directly applies to those in leadership positions, and if necessary, are you willing to relinquish those conflicts immediately prior and during your tenure in office?  
Yes, I currently have no conflicts and I do understand the code.

**\*Code: 1.4.** Key AAO-HNS/F Leaders may not have Direct Financial Relationships with Companies during his or her term of service. Key AAO-HNS/F Leaders may provide uncompensated service to Companies and accept reasonable travel reimbursement in connection with those services. Key AAO-HNS/F Leaders may accept research support as long as grant money is paid to the institution or practice where the research is conducted, not to the individual. Research support, uncompensated services, and other permitted relationships are required to be disclosed.

*Annotation: Key AAO-HNS/F Leaders may receive wages or other compensation from a Company in exchange for providing or overseeing the provision of health services to Company personnel. Key AAO-HNS/F Leaders may accept reasonable compensation for serving on an independent data safety monitoring board in a Company study. Key AAO-HNS/F Leaders may own stock or stock options in a Company. Key AAO-HNS/F Leaders may receive royalties or similar fees relating to patents or other intellectual property. While permitted under Principle 1.4, all such relationships should nevertheless be disclosed and managed in accordance with Principles 2.3.*

*If a Key AAO-HNS/F Leader receives stock or stock options from a Company as wages, consulting fees, honoraria, or other compensation (other than the permitted payments as described in the prior paragraph), this is considered a Direct Financial Relationship. If a Key AAO-HNS/F Leader directs a Company honorarium, other fee or compensation (other than the permitted payments as described in the prior paragraph), to AAO-HNS/F, a charity, their practice or another entity, this is also considered a Direct Financial Relationship.*

I, Pell Ann Wardrop, agree to support and uphold all aspects of the AAO-HNS/F Code for Interactions with Companies (“the Code”). I will voluntarily disclose any and all direct financial relationships with companies as described in the Code, and if necessary, am willing to relinquish those conflicts immediately prior to and during my tenure in an AAO-HNS/F elected position.

10/11/2014



**Signature (REQUIRED)**

Pell Ann Wardrop  
Print Name

23533  
Member ID Number



**Confidential Disclosure for Nominated Candidates – All Requested Information is Required**

**I. BIOGRAPHICAL SECTION**

This information allows the Nominating Committee to complement your record of past contributions to those recorded in the AAO-HNS Member Services department database. Biographical information may be shared with the voting members of the Academy through the *BULLETIN*.

**A. PERSONAL INFORMATION (Print or type – all information is required)**

- i. Full Name Pell Ann Wardrop Credentials MD
- ii. Company Otosleep/ Wardrop Medical Services
- iii. Office Address 160 North Eagle Creek Dr Suite 302  
Lexington KY 40509
- iii. Phone: Work 8599675400 Home 8592990587 Cell: 8595337830
- iv. Email Pwardrop@twc.com
- v. Date of Birth 05/22/1953
- vi. Place of Birth Vancouver, Washington, USA

**B. MEDICAL APPOINTMENTS AND OTHER POST GRADUATE EDUCATION**

i. Please list all academic and hospital appointments not currently listed on your CV (*if this information is included in your truncated CV, do not add it below*).

ii. Are you in active clinical practice?

Yes  No

**If no, please explain, e.g., retired, research project, etc.**

iii. Are you certified by the ABO and/or other Boards?  YES  NO  
Please list the Board(s) and date(s) of certification.

ABOto Otolaryngology 1984  
American Board of Sleep Medicine 2003  
ABOto Sleep Medicine 2008



**Confidential Disclosure for Nominated Candidates – All Requested Information is Required**

C. MEDICAL LICENSE

List all states in which you have applied or are currently licensed to practice. If more than two states, attach a separate listing. If you are no longer licensed, or if a license was not issued, please explain.

State Kentucky Date issued 1984

State \_\_\_\_\_ Date issued \_\_\_\_\_

II. **CONFIDENTIAL SECTION\***

This section is modeled after hospital medical staff application forms. *The information provided in this section of the confidential disclosure form is only shared with the current members of the Nominating Committee who are obligated to total confidentiality into perpetuity.*

A. Do you currently have any physical or mental health condition (including but not limited to a current drug or alcohol dependency) that would adversely affect your ability to perform the essential duties and responsibilities of the Academy office or position that you are seeking?

Yes \_\_\_\_ No X  
If yes, please explain.

B. Have you ever been convicted of a felony?

Yes \_\_\_\_ No X  
If yes, please explain.

C. Has your medical license ever been subject to any adverse action or other restriction, including but not limited to revocation, suspension, probation, admonition, or censure?

Yes \_\_\_\_ No X  
If yes, please explain.

D. Has any adverse action been taken against you by the Federal government, any law enforcement authorities, any hospital, or any managed care company?

Yes \_\_\_\_ No X  
If yes, please explain.

**Confidential Disclosure for Nominated Candidates – All Requested Information is Required**

E. Are there **any** malpractice judgments or settlements that you have had to report to the National Practitioner's Data Bank or that otherwise should be brought to the attention of the Nominating Committee?

Yes \_\_\_\_\_ No X  
If yes, please explain.

F. **Is there anything in your past, including anything about your family, that might be embarrassing to the Academy if it became public?**


Yes \_\_\_\_\_ No X  
If yes, please explain.

### III. CAMPAIGNING

It is a **violation** of Academy policy for candidates for office to engage in personal campaigning. Candidates are also prohibited from asking others to campaign on their behalf. This includes engaging in all forms of communications, including, but not limited to mail, flyers, phone calls, and all forms of electronic media (including, but not limited to Twitter, Doximity, LinkedIn, Facebook, ENTConnect, email, text messaging, etc.) **A candidate who engages in a personal campaign or who knowingly grants permission to others to conduct a campaign on their behalf will be immediately investigated with the possibility of disqualification.**

The AAO-HNS is the sole entity for sharing information concerning all candidates running for elected positions and provides an appropriate venue to introduce candidates to the AAO-HNS membership.

**The AAO-HNS Nominating Committee accepts your signature as confirmation of your willingness to serve the AAO-HNS if elected, and of your understanding and acceptance to comply with the Academy's policy on prohibition of campaigning throughout the election period.**



Signature

11/21/2014  
Date

Pell Ann Wardrop  
Type or print name

23533\_  
Member ID

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Name Pell Ann Wardrop, MD

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The American Academy of Otolaryngology- Head and Neck Surgery is, fortunately, comprised of a highly diverse and talented group of physicians. We face a future that will challenge our scope and style of practice and the ability to continue to provide quality care to our patients.

I believe that my clinical practice and extensive Academy experience has provided an opportunity to develop insight and leadership qualities that will be well served on the AAO-HNS Board of Directors. My membership on the AAO-HNS Finance and Investment Subcommittee and Development Committee gave exposure to the financial aspects of the Academy and its need to stay financially healthy. As chair of the Sleep Disorders Committee there was ample knowledge gained regarding health policy and third party payer issues.

As an active member of the Academy, I see that there are many strengths that we can capitalize upon in moving forward. Our greatest asset is our membership. Our members are committed and passionate advocates for Otolaryngology and our patients. We possess a unique and diverse skill set that sets us apart from many other professional organizations. The volunteerism that makes AAO/HNS strong and vibrant is unparalleled and needs to be recognized and nurtured.

There are, however, some potential threats on the horizon that we need to guard against that may undermine our future. For many of us, our interest lies with our patients, with limited interest in the lobbying and governmental regulation that can impact our patients and our style of practice. To succeed as a specialty and to protect our patients' welfare, we must be active and involved in the regulatory and the policy aspects of our practice. We also need to capitalize on our diversity and celebrate the specialization within otolaryngology without allowing this to result in fragmentation. Aristotle noted that, "The whole is greater than the sum of its parts," and that is certainly true for Otolaryngology. Our AAO-HNS Board of Directors has the duty and responsibility to successfully lead us through these times of change.

I welcome the opportunity to participate as a member of the AAO-HNS Board of Directors and as it paves the way for its membership to continue to thrive and excel in the years ahead.



## CURRICULUM VITAE

**Date** November 21, 2014

**Name** Pell Ann Wardrop, MD

**Current Employment** St Joseph Hospital Sleep Center

**Place of Birth** Vancouver Washington, USA

<b>Education:</b>	<b><u>Dates</u></b>	<b><u>Degree</u></b>	<b><u>Graduation</u></b>
Purdue University	1971-1974	BS	1975
University of Pittsburgh School of Medicine	1974-1978	MD	1978

### **Residency and Fellowship**

**University of North Carolina Chapel Hill**

**Internal Medicine** 1978-1979

**General Surgery** 1979-1980

**Otolaryngology** 1980-1983

### **Specialty**

**Otolaryngology/Sleep Medicine**

### **Licensure and Certification**

Kentucky 1984-present

ABOto Otolaryngology certification 1984

American Board of Sleep Medicine 2003

ABOto Sleep Medicine certification 2008

### **Previous Employment**

Lexington Clinic 1984-2004

### **Memberships and Offices Held /Academy and other societies**

#### **Past AAO-HNS Committee Service**

Women in Otolaryngology Committee (Member) - 10/01/2005 Thru 09/30/2010

Outcomes Research and EBM Committee (Member) - 10/01/2006 Thru 09/30/2009

Sleep Disorders Committee (Member) - 10/01/2006 Thru 09/30/2008

Sleep Disorders Committee (Committee Chair-Elect) - 10/01/2007 Thru 09/30/2008

Laryngology and Sleep Steering Committee (Member) - 10/01/2007 Thru 09/30/2014

Sleep Disorders Committee (Committee Chair) - 10/01/2008 Thru 09/30/2013

WIO Communications Committee (Member) - 10/01/2010 Thru 09/30/2012

Women in Otolaryngology Committee (Consultant) - 10/01/2010 Thru 11/03/2011

Partners for Progress (Member) - 11/22/2011 Thru 12/31/2012

### **Current AAO-HNS committee Service**

Women in Otolaryngology Governing Council (WIO Financial Officer) - 10/01/2010 Thru 09/30/2015

WIO Endowment Committee (Committee Chair) - 10/01/2010 Thru 09/30/2015

Finance & Investment Subcommittee (Member) - 06/08/2011 Thru 09/30/2015

Physician Resources Committee (Member) - 10/01/2012 Thru 09/30/2016

WIO Council on Committees (Member) - 10/01/2012 Thru 09/30/2015

Development Committee (Member) - 10/01/2012 Thru 09/30/2016

Sleep Disorders Committee (Consultant) - 10/01/2013 Thru 09/30/2015

### **ABOto New Material Taskforce 2010-2014**

### **AASM Presidential Task Force on the Future of Sleep Medicine 2010**

### **Honor/Awards**

AAO-HNS Honor Award 2012

### **Community Service**

**Kentucky Sleep Society Board of Directors 2008-2012**

**ENToday Sleep Editor 2008-present**

### **Regional/Local Hospital Participation**

**St Joseph Hospital, Lexington KY 1984-present**

**Medical Director St Joseph Sleep Center 2010-present**

**Chief of Otolaryngology 1988-1992 and 2002-2004**

**St Joseph Hospital East 1984-present**

**Chief of Otolaryngology 1986**

**Assistant Professor Surgery- Division of Otolaryngology- Head and Neck**

**Surgery, University of Kentucky 2004-present**

**Resident Lecture Series Sleep Medicine 2008-present**

### **Summation of Published Works Within the Specialty**

### **Sleep Medicine, Editors: Yaremchuk, K., Wardrop, P., Plural Publishing, 2010**

Sleep Medicine for the Otolaryngologist Chapter 135, **Bailey's Otolaryngology Head and Neck Surgery** 5<sup>th</sup> Edition. 2012.

Wardrop, Jacobowitz, Weaver and Skirko, Sleep Surgery Treatment Outcomes and Policy, *Otolaryngology -- Head and Neck Surgery* 2012 147.

Wardrop, Sleep Apnea: Evaluation and Sleep Testing for the Otolaryngologist, *Operative Techniques in Otolaryngology-Head and Neck Surgery*, Volume 23, Issue 2, Pages 149–154, June 2012



**AMERICAN ACADEMY OF  
OTOLARYNGOLOGY-  
HEAD AND NECK SURGERY**

1650 Diagonal Road  
Alexandria, VA 22314-2857  
+1 703-836-4444  
Fax +1 703-638-5100  
Email: memberservices@entnet.org  
www.entnet.org

## Disclosure Individual Report

23533    FEL / REG    Pell Ann Wardrop, MD    pwardrop@twc.com    Work #: (859) 967-5044

### Disclosure on Record

Key AAO-HNS/F Leader:	<b>No</b>	Journal Editorial Board/editor/manuscript reviewer/Author:	<b>Yes</b>
Editorial Faculty and Speaker:	<b>No</b>	CPG/CCS Author/Reviewer:	<b>No</b>
Other Activity:	<b>No</b>	Position Statement Author:	<b>No</b>
Educational Committees member:	<b>No</b>	Non Educational Committee member:	<b>Yes</b>
		<b>Sleep Disorders</b>	
		<b>Physician Resources</b>	
		<b>WIO Endowment</b>	
Any Relationships:	<b>No</b>	Disclosure submitted:	<b>09/20/2014</b>

**disc**  
**EISC**  
**WIO governing Council**  
**WIO Committee on committees**  
**Development committee (maybe)**