

1 **Impact of the COVID-19 Pandemic on the Management of Head and Neck**
2 **Malignancies**

3 Michael T. Werner, BS¹, Ryan M. Carey, MD², W. Greer Albergotti, MD³, John N.
4 Lukens, MD⁴, Robert M. Brody, MD²

5 ¹ Perelman School of Medicine at the University of Pennsylvania, Philadelphia, PA

6 ² Department of Otorhinolaryngology: Head and Neck Surgery, Perelman School of
7 Medicine, University of Pennsylvania, Philadelphia, PA

8 ³ Department of Otolaryngology, Medical College of Georgia, Augusta University,
9 Augusta, GA

10 ⁴ Department of Radiation Oncology, Perelman School of Medicine, University of
11 Pennsylvania, Philadelphia, PA

12

13 Address correspondence and reprint request to Robert M. Brody, MD, Department of
14 Otorhinolaryngology-Head and Neck Surgery, University of Pennsylvania Medical
15 Center, 5th Floor Ravdin Building, 3400 Spruce Street, Philadelphia, PA 19104

16 (E) Robert.Brody2@pennmedicine.upenn.edu

17

18

19

20

21

22

23

24

25

26

27 **Abstract**

28 The impact of the COVID-19 pandemic on the management of head and neck
29 cancer must be addressed. Immediate measures to reduce transmission rates and
30 protect patients and providers take priority and necessitate some delays in care,
31 particularly for patients with mild symptoms or less aggressive cancers. However, strict
32 guidelines have yet to be developed, and many unintentional delays in care are to be
33 expected based on the magnitude of the looming public health crisis. The medical
34 complexity of head and neck cancer management may lead to prolonged delays that
35 worsen treatment outcomes. Therefore, those caring for patients with head and neck
36 cancer must take action to reduce these negative impacts as the country rallies to
37 overcome the challenges posed by this pandemic.

38

39 The COVID-19 outbreak is likely to disrupt diagnosis, treatment planning,
40 treatment initiation, and treatment duration of head and neck cancer. Outpatient office
41 closures, appointment rescheduling to allow for social distancing, stay-at-home orders
42 in densely populated areas, and patient fears about visiting hospitals for care even if
43 medically warranted have already been noted across the country and in countries
44 across the world. As COVID-19 spreads and the burden on our health care system and
45 the economy increases, the strain on academic medical centers and community
46 hospitals as well as the financial stresses associated with cancer for individual patients
47 will be fully realized. The American Academy of Otolaryngology-Head and Neck Surgery
48 has urged all members to reduce the scale and scope of their practices in order to
49 preserve resources and to minimize transmission rates, as otolaryngologists are a
50 physician population that is high risk for exposure.¹ While our country grapples with the
51 COVID-19 pandemic, it is important to consider the detrimental effects of delaying care
52 for head and neck malignancies.

53 Studies have shown that the majority of head and neck cancers double in volume
54 within 1-3 months, regardless of their initial size or location.² Thus, delays in diagnosis
55 or treatment due to the widespread effects of COVID-19 on the health system may
56 result in additional tumor burden and potential upstaging of TNM classification. With the
57 multidisciplinary approach to head and neck cancer management (involving
58 coordination between many services including but not limited to head and neck
59 surgeons, medical oncologists, pathologists, radiologists, speech language pathologists,
60 dentists, and radiation oncologists), delays in normal administrative care, such as
61 rescheduling of appointments or cancelling tumor board conferences, may be

62 compounded. The availability of personal protective equipment (PPE) for staff as well as
63 an increasing need for self-quarantine or enforced quarantine of patients, caregivers,
64 and healthcare providers may also result in care delays. Already, diagnosis requiring
65 examinations under anesthesia or direct laryngoscopy with biopsy and definitive
66 ablation and reconstruction are being delayed across the country to allow for the
67 development of guidelines, and the possible availability of pre-operative testing for
68 COVID-19. Further delays are likely as anesthesia personnel, ventilators and other staff
69 are diverted for the care of COVID-19 patients and as the prevalence of infection
70 increases both in patients and in medical providers.

71 These delays will negatively affect head and neck patient outcomes. There is an
72 abundance of data to suggest that delays in the interval between surgery and
73 postoperative radiation or the total duration of radiation results in reduced overall
74 survival.³⁻⁵ The preponderance of data also suggests that delayed treatment initiation
75 has a significant impact on overall survival, both for patient undergoing upfront surgery,
76 or definitive radiotherapy.⁴ As a result, most centers advocate for initiation of treatment
77 of head and neck cancer within 4-6 weeks of diagnosis, particularly for advanced
78 cancers.

79 In the absence of extreme prolongation of the package time, slight delays may be
80 accommodated, particularly for patients presenting without significant symptoms or less
81 aggressive histopathology.⁶ However, in addition to the potential impact on overall
82 survival outcomes, a significant delay in initiation of treatment for more advanced
83 tumors may result in larger extirpations or larger radiation volumes, resulting in higher

84 morbidity, poorer functional measures, or reduced quality of life. For example, a small
85 T1 floor of mouth cancer initially requiring marginal mandibulectomy and local
86 reconstruction may become a T4 cancer necessitating free tissue transfer if a significant
87 enough delay occurs. These risks must be weighed in concert with risks to the patient,
88 healthcare providers, other COVID-19 patients and society as a whole if treatment is not
89 deferred. In an effort to avoid endoscopic procedures which may aerosolize the COVID-
90 19 virus, and to reduce the use of operating rooms, PPE, and critical care beds, some
91 have advocated that appropriate patients (for example, HPV-related HNSCC) be treated
92 with definitive radiotherapy, as opposed to upfront surgery, during this crisis. This may
93 be appropriate for some patients, but must be balanced against the increased time
94 these patients would spend in radiotherapy clinics.

95 As the United States braces for the full impact of the COVID-19 pandemic, we
96 must heed advice coming from areas of the world already hit hardest. For example, in a
97 nationwide analysis in China of patients, cancer patients appear at elevated risk for
98 COVID-19 and related complications, likely due to their immunosuppressed state and
99 elevated age.⁷ We surmise that head and neck cancer patients, with impaired upper
100 respiratory function or increased aspiration risk, are likely to be at even heightened risk
101 for pulmonary complications requiring intensive care. We and others agree that the
102 benefit of delaying surgery for less aggressive cancers to reduce COVID-19
103 transmission, particularly for our vulnerable patients and staff, outweighs the risk of
104 tumor progression in certain instances.^{7,8} The advice to delay care is less clear for
105 advanced-stage and aggressive cancers and will likely depend on regional- and patient-
106 specific factors as the COVID-19 outbreak unfolds. The complexity of care required for

107 patients with head and neck cancers is one of the main factors that most engages head
108 and neck surgeons and other providers in the field. With new challenges will come new
109 solutions. During this unprecedented pandemic, we look forward to seeing the new
110 ways in which our specialty comes together to provide the best possible care for our
111 patients.

ACCEPTED

112 **References**

- 113 1. American Academy of Otolaryngology. Position Statement : Otolaryngologists and
114 the COVID-19 Pandemic.
- 115 2. Jensen AR, Nellesmann HM, Overgaard J. Tumor progression in waiting time for
116 radiotherapy in head and neck cancer. *Radiother Oncol*. 2007;84(1):5-10.
117 doi:10.1016/j.radonc.2007.04.001
- 118 3. Ho AS, Kim S, Tighiouart M, et al. Quantitative survival impact of composite
119 treatment delays in head and neck cancer. *Cancer*. 2018;124(15):3154-3162.
120 doi:10.1002/cncr.31533
- 121 4. Graboyes EM, Kompelli AR, Neskey DM, et al. Association of Treatment Delays
122 with Survival for Patients with Head and Neck Cancer: A Systematic Review.
123 *JAMA Otolaryngol - Head Neck Surg*. 2019;145(2):166-177.
124 doi:10.1001/jamaoto.2018.2716
- 125 5. Schutte HW, Heutink F, Wellenstein DJ, et al. Impact of Time to Diagnosis and
126 Treatment in Head and Neck Cancer: A Systematic Review. *Otolaryngol Head*
127 *Neck Surg*. 2020:194599820906387. doi:10.1177/0194599820906387
- 128 6. DeGraaff LH, Platek AJ, Iovoli AJ, et al. The effect of time between diagnosis and
129 initiation of treatment on outcomes in patients with head and neck squamous cell
130 carcinoma. *Oral Oncol*. 2019;96(March):148-152.
131 doi:10.1016/j.oraloncology.2019.07.021
- 132 7. Liang W, Guan W, Chen R, et al. Cancer patients in SARS-CoV-2 infection: a
133 nationwide analysis in China. *Lancet Oncol*. 2020;21(3):335-337.

134 doi:10.1016/S1470-2045(20)30096-6

135 8. Ueda M, Martins R, Hendrie PC, et al. Managing Cancer Care During the COVID-
136 19 Pandemic: Agility and Collaboration Toward a Common Goal. *JNCCN-Journal*
137 *Natl Compr Cancer Netw 1 JNCCN Spec Featur*. 2020;18(4):1-4.

138 doi:10.6004/jnccn.2020.7560

ACCEPTED