

1 **Intranasal Antiviral Drug Delivery and Coronavirus Disease (COVID-19): A State-of-the-Art**
2 **Review**

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48 **ABSTRACT (WHITE JOURNAL FORMAT)**

49 *Objective:* To provide a state-of-the-art review of intranasal antiviral drug delivery and to discuss
50 current applications, adverse reactions, and future considerations in the management of
51 Coronavirus Disease (COVID-19).

52 *Data sources:* PubMed, Embase, and www.clinicaltrials.gov search engines.

53 *Review Methods:* A structured search of the current literature was performed of dates up to and
54 including April 2020. Search terms related to topics of antiviral agents and intranasal
55 applications were queried. A series of video conferences was convened of experts in
56 otolaryngology, infectious diseases, public health, pharmacology, and virology to review the
57 literature and discuss relevant findings.

58 *Conclusions:* Intranasal drug delivery for antiviral agents have been studied for many years.
59 Several agents have broad-spectrum antiviral activity, but they still require human safety and
60 efficacy trials prior to implementation. Intranasal drug delivery has potential relevance for future
61 clinical trials in the settings of disease spread prevention and treatment of SARS-CoV-2 and
62 other viral diseases.

63 *Implications for Practice:* Intranasal drug delivery represents an important area of research for
64 COVID-19 and other viral diseases. The consideration of any potential adverse reactions is
65 paramount.

66

67 INTRODUCTION

68 The rapid spread of the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)
69 in the immunologically naïve human population has led to a global pandemic. SARS-CoV-2 is
70 spread primarily through airborne droplet and contact transmission with contaminated fomites.
71 While SARS-CoV-2 particles may persist on surfaces for several days, as enveloped viruses,
72 they are sensitive to desiccation and mild detergent disinfection.¹ Small population studies
73 indicate that between 6 and 88% of SARS-CoV-2 infections do not result in overt disease.²⁻⁴ The
74 impact of asymptomatic or subclinical individuals to public health is clear, with up to 44% of
75 infected individuals having contracted the virus from asymptomatic persons.⁵ Individuals who
76 display clinical symptoms of SARS-CoV-2 infection, or coronavirus disease (COVID-19),
77 exhibit a range of symptom severity, with high case fatality rates in the elderly,
78 immunocompromised patients, or those with comorbid diabetes, cardiac, pulmonary, and
79 immunocompromised conditions.⁶

80 The nasal cavity and nasopharynx contain some of the highest viral loads in the body, and
81 viral loads are similar in symptomatic and asymptomatic individuals. Accordingly, these “silent
82 spreaders” may unknowingly contribute to the exponential growth of disease as nasal secretions
83 contain spreadable virus, and contagiousness appears to be highest before or shortly after
84 symptom onset.

85 Current strategies to mitigate the pandemic have focused on public health initiatives,
86 such as social distancing, community hygiene awareness, testing and tracing, and travel
87 restrictions. Intranasal delivery of antiviral drugs or agents may provide an additional option for
88 preventing disease transmission, treating the nasal disease, and providing perioperative

89 antiseptis. This article summarizes our findings for the potential role of studying topical
90 intranasal delivery of drug and agents known to have antiviral properties in SARS-CoV-2.

91

92 **METHODS**

93 A search of PubMed, Embase, and clinicaltrials.gov was conducted to identify relevant
94 peer-reviewed English articles related to intranasal application of drugs and agents with antiviral
95 properties. A multidisciplinary team of specialists in the areas of otolaryngology, infectious
96 diseases, public health, pharmacy, and virology was assembled to review and summarize the
97 literature. A series of video conferences were held to interpret the findings and discuss potential
98 applications of intranasal application of antiviral agents in the setting of the COVID-19
99 pandemic. The panel discussed several topics relevant for consideration in intranasal antiviral
100 drug therapy (Figure 1). Agents were assessed for evidence of antiviral activity, either in SARS-
101 CoV-2 and other viruses, and efficacy or potential feasibility in human intranasal use. Potential
102 intranasal adverse reactions were evaluated—specifically—mucosal or skin irritation, smell and
103 taste disturbance, headaches, allergic reactions, nasal bleeding, fungal infection or colonization,
104 and rhinosinusitis. Additional items of discussion included adequacy of mechanisms of target or
105 viral cell infiltration, routes of delivery, medium suspension, additives to enhance mucosal or
106 cellular absorption of the agents, and the reliability of compounding these substances.

107 **DISCUSSION**

108 **Viral Structure and Mechanism**

109 Coronaviruses, such as SARS-CoV-2, are enveloped positive-sense RNA viruses with a
110 genome length of approximately 30k nucleotides encoding 16 nonstructural proteins, and at least

111 4 main structural proteins, although the absolute number varies amongst the members of
112 Coronavirinae (Figure 2).⁷ Coronaviruses, such as SARS-CoV-2, are enveloped positive-sense
113 RNA viruses with a genome length of approximately 30k nucleotides encoding 16 nonstructural
114 proteins, and at least 4 main structural proteins, although the absolute number varies amongst the
115 members of Coronavirinae.⁷ Architecturally, coronavirus particles are spherical with an average
116 diameter of 125 nanometers, from which the projection of the Spike glycoproteins create a
117 crown-like appearance responsible for the name of the genus. In addition to the Spike
118 glycoproteins, coronavirus particles consist of the E and M integral membrane proteins, a host-
119 derived lipid envelope, and the helical viral nucleocapsid consisting of the N protein and the viral
120 genomic RNA.

121 As summarized in Figure 3, the coronavirus cellular viral life cycle begins with the
122 attachment of the viral particle to the host cell via the viral Spike glycoprotein.⁸ SARS-CoV-2
123 utilizes the human Angiotension-Converting Enzyme 2 (hACE2) protein as its primary receptor
124 for viral entry.⁹ The coronavirus cellular viral life cycle begins with the attachment of the viral
125 particle to the host cell via the viral Spike glycoprotein. The cellular receptor involved in viral
126 entry varies amongst the members of Coronavirinae; however, the SARS-CoV-2 virus, in
127 addition to the original SARS virus (SARS-CoV-1) and the endemic human coronavirus HCoV-
128 NL63, utilize the human Angiotensin-Converting Enzyme 2 (hACE2) protein as its primary
129 receptor. The entry of the virus into the host cytoplasm requires a series of two proteolytic
130 cleavage events of the Spike glycoprotein to reveal the fusion peptide which mediates the fusion
131 of the viral and cellular lipid bilayers. The delivery of the viral RNA into the cytoplasm results in
132 the expression of the viral replicase complex, which consists of 16 nonstructural proteins
133 encoded by the genomic RNA. Within the viral replication compartment, viral RNA synthesis

134 produces a nested set of mRNA transcripts produced via a complex discontinuous RNA
135 synthesis mechanism, which produces complementary negative sense RNA templates. The
136 nested mRNAs produce the remainder of the viral structural proteins, and progeny viral genomes
137 are produced by way of continuous viral RNA synthesis. The formation of new viral
138 nucleocapsids occurs in the cytoplasm of the infected cells, and mature viral particles are budded
139 into the Endoplasmic Reticulum-Golgi Intermediate Complex (ERGIC) via an interaction
140 between the ERGIC membrane associated M protein and the N protein of the nucleocapsid. The
141 mature viral particles are trafficked to the cell membrane in smooth walled vesicles and released
142 to the extracellular space.

143 To date, seven CoVs capable of infecting humans have been identified and account for
144 5% to 10% of acute respiratory infections. Most endemic CoVs cause self-limiting upper
145 respiratory infections; however, SARS-CoV, SARS-CoV-2, and Middle Eastern Respiratory
146 Syndrome Coronavirus (MERS-CoV) have notably high mortality rates.⁷ Transmission of
147 SARS-CoV-2 appears to occur primarily through respiratory droplets⁶, with secondary surface
148 contact transmission and aerosol transmission possible. The incubation time is typically 3 to 7
149 days with up to 2 weeks between time of infection and symptoms. This long asymptomatic phase
150 is thought to contribute to the large basic reproduction number (R0) of 2.5 to 3.¹⁰ Viral shedding
151 has been detected in multiple anatomic sites, including the nasal cavities, nasopharynx, sputum,
152 oropharynx, bronchial fluid, and stool.¹¹ However, the nasopharynx had a much higher detection
153 rate than the oropharynx.¹²

154

155 **Agents with Antiviral Capability**

156 While no drug has been developed to specifically treat the SARS-CoV-2 virus, a few
157 agents have been found to inactivate SARS-CoV-2 on surfaces, including ultraviolet radiation,
158 heat, ether, ethanol, and isopropanol.⁶ Other agents have been studied with other viruses. Table 1
159 shows a summary of the antiviral agents discussed.

160 *Alcohol and Isopropanol*

161 The World Health Organization (WHO) hand hygiene in healthcare guidelines contain
162 two alcohol-based formulations, including ethanol and isopropanol.¹³ These compounds are fast-
163 acting, inexpensive, and broad-spectrum, previously showing the ability to inactivate both
164 SARS-CoV¹⁴ and MERS-CoV.¹⁵ WHO recommends the use of at least 60% ethanol or 70%
165 isopropanol in hand sanitizer formulations.¹⁶ Although, there is evidence that both alcohols
166 inactivate the SARS-CoV-2 down to a concentration of 30%.¹⁷

167 Intranasal application of alcohol formulations has been studied in a placebo-controlled
168 RCT of 387 healthcare workers.¹⁸ Health care workers colonized with nasal *S. aureus* were
169 swabbed intranasally three times a day with 70% ethanol combined with natural oil emollients
170 and the preservative benzalkonium chloride or placebo. Antiseptic use reduced *S. aureus* colony
171 forming units by a median of 99% ($P<0.001$) compared with placebo. The participants reported
172 no adverse effects during the study.

173 *Povidone-Iodine*

174 Povidone-iodine (PI) has rapid bactericidal and virucidal activity, including against
175 SARS-CoV and MERS-CoV.¹⁹ It is widely available in the clinical settings and has been utilized
176 as a skin disinfectant as well as an oral wash or gargle rinse. 3M developed and evaluated an
177 intranasal formulation (PI solution 5% w/w [0.5% available iodine] USP) application to the

178 anterior nares. A blinded expert grader assessment of the level of intranasal skin erythema and
179 edema in 30 patients demonstrated no significant irritation by the Draize scale. Formulations of
180 5-10% PI have been evaluated intranasally with regards to potential side effects and results have
181 shown no gross injury though ciliotoxicity has been demonstrated *in vitro* at these
182 concentrations.²⁰ A randomized control trial (RCT) of nasal application of 10% PI, 5% PI, or
183 placebo preoperatively for arthroscopic surgeries for methicillin-resistant staphylococcus aureus
184 (MRSA) prophylaxis found equal rates of nasal irritation.²¹ However, another study of 5% and
185 10% PI applied to ciliated human respiratory epithelial cells showed ciliotoxicity.²² Lower
186 concentration formulations (0.5% povidone-iodine [Nasodine]) applied *in vitro* to air-liquid
187 interface cultures of primary human nasal epithelial cells was found to lack cytotoxicity or
188 ciliotoxic effect.²³ Calls for the consideration of PI application intranasally or orally has been
189 advocated as a preventative for patients and healthcare workers involved in head and neck
190 oncologic care at risk of COVID-19 exposure.^{24,25} A search of clinicaltrials.gov reveals that a
191 few initiated protocols evaluating intranasal or intraoral formulations of PI for SARS-CoV-2.²⁶⁻²⁹

192 *Carrageenan*

193 Carrageenan is a polysaccharide food additive extracted from red seaweed extract widely
194 used as a thickening agent for food. *In vitro* and animal studies demonstrate that carrageenan
195 shows antiviral properties to human rhinovirus and influenza A and prevents viral attachment to
196 host cells without systemic absorption or nasal mucosal penetration. Four placebo-controlled
197 RCTs have been performed evaluated iota-carrageenan nasal spray in the treatment of respiratory
198 viral infections (including rhinovirus, enteroviruses, and influenza) with variable reduction in
199 symptoms and viral loads versus placebo saline spray.^{30,31} Formulations of nasal sprays

200 containing carrageenan are available over the counter, but the FDA currently has only approved
201 this agent as a food additive permitted for human consumption.

202 *Acid-Buffered Saline*

203 Acidic pH is frequently used for virus inactivation. Acidic solutions are commonly used
204 in the pharmaceutical industry to inactivate viruses in the isolation of viral proteins and also for
205 cleaning and prevention of infection.^{32,33} Acid-buffered saline has been investigated as a topical
206 therapy for various upper respiratory viruses, showing inactivation of influenza A, decreased
207 symptoms and viral shedding of influenza A, reduced viral shedding of human rhinovirus with
208 the use of solutions and nasal gels, and reduced symptom severity and duration of illness in the
209 common cold.^{32,34} Unfortunately, SARS-CoV-2 has been shown to be highly stable in a wide
210 range of pH environments, limiting the viability of acidic therapies as options against this virus.¹

211 *Hypertonic Saline*

212 Hypertonic saline may reduce symptoms of various upper respiratory viruses, as well as
213 potentially reduce viral shedding and promote inactivation.^{35,36} Ramalingam et al. demonstrated
214 via *in vitro* studies that increasing the availability of NaCl may facilitate the innate immune
215 response in non-myeloid cells via an increase in intracellular hypochlorous acid levels.³⁶
216 Ramalingam et al. found in an RCT of hypertonic saline irrigation and gargling for the common
217 cold that the use of hypertonic saline reduced symptom severity, length of illness, intrahousehold
218 transmission, and viral shedding.³⁵ Meta-analyses have shown good tolerability with some
219 reports of nasal irritation, headache, and epistaxis.³⁷

220 *Hydrogen peroxide*

221 Hydrogen peroxide (H₂O₂) has long been known to cause viral inactivation and H₂O₂
222 0.5% efficiently deactivates SARS-CoV-2 on surfaces.^{38,39} While H₂O₂ is commonly used for
223 surface, surgical, and oral disinfection³⁸, there are currently no human clinical trials
224 demonstrating the safety or efficacy of intranasal application of H₂O₂.

225 *Probiotics*

226 The use of ingested oral probiotics has have been evaluated in the current COVID-19
227 pandemic, but the evidence of its use are from small case series and correspondences, and
228 experts concluded that even if oral probiotics were useful, they were unlikely to have a direct
229 effect on the severe acute respiratory syndrome that most patients with COVID-19 present with
230 acutely.⁴⁰ However, there is evidence that both the nasal and gastrointestinal microbiome are
231 important factors in the innate immune system and, particularly, in the defense against
232 respiratory viral pathogens. Nasal microbiota clusters were found associated with host
233 inflammatory response, viral load, and symptom severity in rhinovirus.⁴¹ The *Corynebacterium*-
234 rich cluster of patients had overall reduced symptoms during rhinovirus infection despite the
235 addition of oral probiotics not changing the host microbiome (nasal and gastrointestinal)
236 significantly. *Corynebacterium* was also found to be protective against Respiratory Syncytial
237 Virus (RSV) infection in an *in vivo* mouse model.⁴² Also, in a mouse model, Zelaya et al. found
238 that *Lactobacillus* introduced nasally helped prevent influenza pulmonary damage and
239 inflammation.⁴³ While the effects of orally administered probiotics on a variety of viruses has
240 been studied, we found no studies directly investigating the introduction of intranasal probiotics
241 for the treatment of human upper respiratory virus infections. Further studies on both nasal and

242 oral administration of probiotics are warranted for COVID-19 and other upper respiratory viral
243 infections.

244 *Surfactants/Shampoo*

245 Surfactants and, in particular, baby shampoo have been studied most extensively in
246 chronic rhinosinusitis. Most studies have evaluated potential bactericidal and anti-biofilm
247 effects.⁴⁴ We found no studies that evaluated evaluating surfactant application to the nasal cavity
248 and its ability to prevent or diminish viral infection. However, intrinsic pulmonary surfactant has
249 been found to be an important part of our innate immune system, and its use has recently been
250 shown to help prevent several respiratory viruses such as H1N1 and influenza.⁴⁵⁻⁴⁷ The
251 pulmonary surfactant phospholipids are thought to prevent viral infections by inhibiting viral
252 binding to epithelial cells. The use of surfactants to achieve the same results in the upper
253 aerodigestive tract is intriguing but has not been studied. One proposed trial aims to investigate
254 the effect of saline irrigations and baby shampoo/saline irrigations on COVID-19 patients.⁴⁸
255 Most surfactants have been reported to have good tolerability, but it is worthy to note that
256 surfactant additive in nasal saline rinses has been associated with nasal congestion and temporary
257 smell loss in normal volunteers.⁴⁹

258 *Ultraviolet Radiation*

259 Based on the physiologic effects, UV radiation can be divided into: UVC (100–280 nm),
260 UVB (280– 320 nm) and UVA (320–400). The majority of evidence of the biological effect of
261 UV light has come from the field of dermatology, where various forms of phototherapy have
262 been applied for decades.⁵⁰

263 Intranasal phototherapy has been explored for the treatment of other rhinologic
264 conditions, primarily based on its immunomodulating effect on inflammatory processes. Two
265 RCTs demonstrated that combined low dose UVB, low dose UVA, and visible light are effective
266 in reducing symptoms scores of moderate to severe ragweed-induced allergic rhinitis
267 uncontrolled by anti-allergic drugs.^{51,52} However, a similar treatment protocols does not appear
268 to have efficacy for treatment effective for of chronic rhinosinusitis.⁵³

269 The carcinogenic risk of rhinophototherapy on the nasal mucosa appears to be limited at
270 the exposure levels used in the studies above. Nasal epithelial cells are capable of repairing UV-
271 induced DNA damage in allergic rhinitis patients receiving intranasal phototherapy.⁵⁴ Significant
272 DNA damage was observed immediately after completing two weeks treatment of which reduced
273 at the day-10 assessment and but was equivalent to the control group at 2-month follow-up.
274 Parallel experiments demonstrated similar repair kinetics in human skin *in vitro* and animal
275 models.⁵⁵ Animal studies with UV-A and UV-B irradiation demonstrate no histopathological
276 changes⁵⁶, and no induction of apoptosis at lower doses.⁵⁷ Other animal studies have
277 demonstrated similar reduction in histopathological changes with phototherapy compared to
278 nasal corticosteroid treatment without increasing apoptosis of mucosal cells.⁵⁸

279 UV-C is strongly absorbed by the nucleic acids of a microorganism and, therefore, is the
280 most lethal range of wavelengths for microorganisms. UV-C sterilization has been proposed as
281 an effective method for simultaneous disinfection of both the water source and saline irrigation
282 bottle⁵⁹, and has been used in combination to reduce titers of SARS-CoV-2 to non-detectable
283 levels in human blood transfusion products.⁶⁰

284 Multiple clinical studies dating back to the 1940s⁶¹ demonstrated that UV exposure of the
285 wound during surgery resulted in markedly decreased SSI rates. However, conventional UV-C
286 light sources, typically emitting at 254 nm, are a human health hazard, causing skin cancer and
287 cataracts.^{62,63} In contrast, far-UVC light in the range of 207–222 nm has the same bactericidal
288 potential of 254-nm light, but without the damaging effects to mammalian cells and tissues. Due
289 to its short range in biological materials, far-UVC light does not penetrate the outer layer of the
290 skin or the outer surface of the eyes but can efficiently inactivate the nucleic acids and
291 proliferative capacity of surface microbes.^{64,65}

292 While intranasal UV-A and UV-B light is safe, phototherapy at this wavelength has
293 limited antimicrobial activity. UVC light is an effective method for sterilization, but the
294 intranasal safety profile for UV-C phototherapy has not been studied.

295 *Oxymetazoline and Xylometazoline*

296 Oxymetazoline and xylometazoline are commonly using over-the-counter nasal
297 decongestants. Adverse effects include local irritation and rhinitis medicamentosa in which
298 overuse causes paradoxical nasal obstruction. Small studies have shown transient decreased viral
299 load in rhinovirus utilizing topical oxymetazoline nasal spray.⁶⁶ These agents have not been
300 studied in other viruses or SARS-CoV-2. Given that it has been shown to reduce rhinovirus viral
301 load, caution may be advisable with its use prior to nasal swab viral testing.

302 *Interferon*

303 Interferons are complex cytokines intricately involved in innate cellular immunity and are
304 named for their ability to interfere with viral replication. Interferons increase expression of major
305 histocompatibility complex (MHC) molecules. Increased MHC I expression up-regulates viral

306 presentation to cytotoxic T cells. Stimulation of MHC II expression potentiates helper T cell
307 response and subsequent release of cytokines that increase activity of other immune cells.⁶⁷

308 Viral cellular invasion activated type 1 interferons, which secrete fibroblasts and
309 monocytes with interferon-specific receptors. Subsequently, this activates the classical Janus
310 kinase-signal transducer and activator of transcription (JAK-STAT) signaling pathway. The
311 downstream result is an expression of proteins that inhibit viral replication.⁶⁷ The role of
312 interferon in SARS-CoV-2 is intriguing but duplicitous. The SARS-CoV-2 virus enters the cell
313 following binding of a spike protein domain with the ACE2 receptor, which is upregulated by
314 interferons and has previously been suggested to help lung cells tolerate damage. However, in
315 the case of SARS-CoV-2, the up-regulation of ACE2 may actually exacerbate the disease. It is
316 unclear if SARS-CoV-2 is utilizing the important role of interferon in our innate clinical
317 immunity or if the beneficial effects of interferon outweigh the increased cellular entry it
318 allows.⁶⁸

319 Interferons have been previously investigated for use against SARS-CoV. An in-vitro
320 study of cell lines from patients infected with SARS-CoV showed that interferon alpha, beta, and
321 gamma all inhibited SARS-CoV replication; however, interferon beta was five to ten times more
322 effective and showed both prophylactic protection as well as antiviral potential after infection.⁶⁹
323 Others suggest that type 3 interferons—specifically interferon lambda—could be a better
324 therapeutic option in respiratory infections. Sun, et al. showed that interferon lambda was
325 superior to type 1 interferons due to their specificity in the respiratory tract, thereby decreasing
326 systemic side effects specifically an inflammatory response that is sometimes characterized by
327 type 1 interferons.⁷⁰

328 Aerosolized interferon treatment has been shown to be effective in viral-mediated
329 respiratory disease. Type 1 interferons have been shown to induce undesirable systemic side
330 effects, such as fatigue, headache, pyrexia, myalgia, rigors, and psychiatric symptoms. However,
331 topical formulations of recombinant human interferon alpha-2b have been shown to have no
332 significant side systemic side effects.⁷¹ This formulation was used as a topical nasal spray in a
333 placebo-controlled trial in children with hand, foot, and mouth disease. The children treated with
334 the topical nasal interferon alpha-2b had a shorter duration of fever, oral ulcers, skin rash, and
335 decreased appetite when compared to the placebo group.⁷¹ A new formulation of type 1
336 interferon – interferon beta-1a – was used in a double blind, placebo-controlled trial of asthma
337 patients in attempts to decrease viral respiratory infections and thus asthma exacerbations (NCT
338 01126177). The proprietary interferon beta-1a formulation is the only aqueous preparation and is
339 pH balanced to the respiratory mucosa making it an ideal therapeutic for inhalation. Although
340 this study failed to meet its primary end point of better asthma control, it did show good evidence
341 of enhanced innate immunity with increased production of antiviral genes in induced sputum⁷².

342 Topical interferon is an intriguing target for SARS-CoV-2 therapy. Preliminary results of
343 its use as a prophylactic for healthcare workers in Hubei, China shows no infections in the 2944
344 healthcare workers using the medication as a nasal drop.⁷³ While well conducted, prospective
345 trials are certainly needed in peer reviewed literature, this therapy offers immense promise as a
346 well-tolerated, easy to deliver topical prophylactic against SARS-CoV-2 infection.

347 **Enhancing Mucosal Absorption Efficiency**

348 The advantages in nasal mucosa as a drug delivery medium include increased absorption
349 rate, possible increased bioavailability through avoidance of hepatic first pass metabolism, and a
350 less acidic pH environment. However, challenges include a poor retention time on membrane,

351 narrow absorption value, degradation via mucolytic enzymes, and a continuous mucociliary
352 movement leading to washout.⁷⁴

353 *Chitosan*

354 Chitosan is a cationic polysaccharide widely studied for its mucoadhesive enhancing
355 properties in medication delivery. Chitosan can contain a wide array of chemically altered
356 functional groups to enhance mucoadhesive properties and permeation effects via opening of
357 epithelial tight junctions, and several studies have demonstrated superiority in chitosan bound
358 medications compared to unbound forms. Currently, chitosan has FDA-approved uses as a
359 wound healing agent. However, limited study has been conducted on nasal chitosan-based
360 antiviral medications. Given chitosan's flexibility and study as a strong mucoadhesive with
361 generally low toxicity, more research is needed into possible nasal chitosan-based antiviral
362 medications.⁷⁵

363 *Liposomes*

364 Lipophilic/liposomal formulations have aided drug delivery across lipid bilayer cell
365 membranes. Many FDA-approved medications such as doxorubicin, amphotericin B and others
366 have liposomal drug formulations. Several in vitro and in vivo animal studies have found
367 liposomal formulations to improve drug bioavailability across the mucosal membrane barrier.⁸⁰
368 However, despite the significant quantity of research around both chitosan and liposomal nasal
369 nanoparticle formulations, there remains no FDA approved products of chitosan and liposomal
370 based nasal drug delivery systems and further research in humans is needed to support clinical
371 safety and efficacy.⁷⁶

372 *Poloxamers*

373 Poloxamers are a class of hydrogels, which are water-soluble and nonionic copolymers
374 with amphiphilic and surface-active properties. Increasing temperature of their aqueous solutions
375 creates a sol-to-gel transition above a critical gelation temperature. Hydrogels are used to
376 facilitate localized, sustained release of a drug, thereby lower drug dosage, limiting
377 administration frequency, and avoiding adverse effects. Poloxamers are FDA-approved as non-
378 toxic solubilizer, emulsifier, stabilizer, and can be administered through oral, parenteral, and
379 topical routes.⁷⁷

380 **Route and Medium of Drug Delivery**

381 *Solution Sprays*

382 Intranasal drug delivery has been used for allergic rhinitis, chronic rhinosinusitis, opioid
383 overdose, and topical anesthesia/decongestion for many years and has been widely studied in the
384 literature.⁷⁸ Factors that make this delivery option favorable are the relative ease of use in a
385 home environment and good patient tolerance. The risk of inducing viral shedding is unknown,
386 as these sprays are aerosolized and could elicit sneeze or coughing. Most sprays generate an
387 aerosol that deposits in the anterior nasal cavity, with mucociliary clearance further carrying
388 medications deeper into the nasal cavity. Newer exhalation delivery nasal sprays have been
389 shown to distribute further within the nasal cavity (Figure 4).⁷⁹ Nasal nebulizers have also been
390 employed in the treatment of chronic rhinosinusitis and nasal polyposis; however, distribution of
391 medication is not significantly different than that of the exhalation delivery systems and has
392 higher associated equipment cost. The mucous layer within the nose renews within 20 minutes
393 and is discarded into the nasopharynx, thus the speed at which the medication dissolves within
394 the mucous layer and penetrates mucosa is critical for drug efficacy. Computational fluid

395 dynamics could be utilized to determine appropriate particle size, spray velocity, and dosing to
396 help guide effective therapies.

397 *Saline Rinses*

398 Like solution sprays, intranasal saline rinses are widely available, utilized with and
399 without the addition of medications, and generally well-tolerated. Further investigation on the
400 risk of viral shedding are needed. Advantages suggested over sprays include the removal of the
401 mucous barrier with the rinse action, in order to provide maximum interface between the drug
402 and the mucosa itself.⁸⁰ However, formulations of medications must be water-soluble in order to
403 administer in this method.

404 *Gel*

405 Intranasal nanogels have been utilized for drug delivery in Alzheimer's disease,
406 migraines, depression, and schizophrenia.⁸¹ This medium can be utilized for both hydrophilic
407 and hydrophobic drugs, distinguishing it from the above mentioned intranasal sprays and rinses
408 that typically require a suspension. Additionally, the increased viscosity of the gel formulation
409 may increase the residence time of the drug on the nasal mucosa, therefore increasing drug
410 absorption through the mucosa.⁸² Increasing the viscosity may, in turn, interfere with normal
411 ciliary beating and cause untoward negative side effects. Challenges include maintaining stable
412 formulations with consistent dosing while preserving an adequate shelf-life and designing an
413 efficient delivery system to administer the gel within the nasal cavity. Wang et al also propose a
414 hybrid of technologies via an in-situ gel-forming system, where a solution instilled intranasally
415 undergoes phase transition to a viscoelastic gel have been formulated to offer. Advantages of
416 this system include increased retention in the nasal cavity and increased permeability through the
417 mucous membranes.

418 *Foam/Packing*

419 Intranasal foam and dissolvable packing have been utilized for many years by
420 Otolaryngologists for treatment of epistaxis, chronic rhinosinusitis, and treatment of post-surgical
421 sinus cavities. Applications for drug delivery for psychiatric conditions, such as bipolar disorder
422 and schizophrenia, have also been studied. Examples of intranasal foams include chitosan,
423 carboxymethylcellulose, hyaluronic acid, and synthetic polyurethane foam. Nanoparticles used as
424 reservoirs for hydrophobic drugs can be compounded within these foams to provide increased
425 mucoadhesive properties and enhanced absorption of medications. This method would likely be
426 more challenging for patients to self-administer, as most Otolaryngologists employ its use by direct
427 administration by a medical professional rather than by patients themselves. Additionally, these
428 foams are traditionally applied under at least topical anesthesia, and may be less tolerated by
429 eliciting more sneezing and irritation than sprays/rinses.

430 *Dry powders*

431 Most intranasal sprays on the market are liquid suspensions; however, recreational drugs
432 have been used in powder form for many years. More recently, dry mist nasal sprays have been
433 introduced, which dissolves the medication in hydrofluoroalkane (HFA) propellant. Non-
434 aqueous propellants such as propylene glycol, isopropyl alcohol, and PEG400 are known to
435 cause local irritation with chronic use, thus careful attention to the choice of propellant and
436 possible adverse reactions must be considered. Other challenges for utilization of powders
437 includes ability to distribute within the nasal cavity, controlling the particle size, protecting
438 viability of powder during storage from humidification, and maximizing absorption by the
439 mucous membranes.⁷⁹

440 *Ointment*

441 Nasal ointments have been in use for control of folliculitis within the nasal vestibule as
442 well as for prevention of epistaxis; however, more recently interest has increased in drug
443 delivery via nasal ointments, such as for allergic rhinitis.⁸³ Via intranasal swabs, these ointments
444 are easily applied by patients to the anterior nasal vestibule, with mucociliary clearance carrying
445 medications further within the nasal cavity. The higher viscosity ointments again result in lower
446 tendency to spread and may increase retention time within the nasal cavity, and the lipophilic
447 properties of the ointment may enhance absorption by the nasal mucosa. Disadvantages of
448 ointments, specifically long-chain mineral hydrocarbons, include risk of paraffin granulomas and
449 case reports of lipoid pneumonia from long-term nocturnal intranasal application.⁸³

450 **IMPLICATIONS FOR PRACTICE**

451 Topical intranasal antiviral drug delivery has several potential applications, but further
452 studies are necessary. Efficacy in many settings is currently unknown, and considerations for any
453 potential adverse effects, including loss of taste and smell, epistaxis, and mucosal irritation, are
454 important.

455 The panel discussed the following settings in which studies of topical intranasal delivery
456 of antiviral medications could be considered (Figure 5):

- 457 • Perioperative prevention for healthcare workers and patients
- 458 • Prevention of the well person from contracting the virus
- 459 • Prevention of the infected person or presymptomatic carriers from spreading the virus
- 460 • Systemic drug delivery
- 461 • Treatment of intranasal viral disease
- 462 • Reduction in progression of viral disease

463

464 Perioperative application as an antiseptic is the most mentioned use of intranasal (as well
465 intraoral) antiviral agents. Several articles have described considerations of usage of povidone-
466 iodine during oral and head and neck surgery, as well as in-office application for prevention of
467 viral spread during minor endoscopic procedures, such as diagnostic nasal endoscopy and
468 flexible fiberoptic nasolaryngoscopy.^{24,25} While clinical settings are the ideal initial areas for
469 investigation, studies of community populations could be considered for the widespread
470 prevention of spread and as potential therapeutic options for nasal symptomatology.

471 The nasal cavities and nasopharynx harbor a significant amount of SARS-CoV-2, even in
472 asymptomatic or presymptomatic carriers of the virus. Several possible candidates exist for
473 intranasal delivery of virucidal drugs and agents; however, clinical efficacy would require the
474 agent(s) to have adequate mechanisms of target or viral cellular infiltration along with routes of
475 delivery and medium suspension to reach the pathologic areas. Cellular absorption enhancement
476 agents may also be needed to increase effectiveness. And as with any therapeutic agent, proper
477 safety profiles for intranasal use are important. This article summarizes the current knowledge

478 from the literature regarding intranasal drug delivery and its potential applications in combating
479 the SARS-CoV-2 pandemic and other future viral epidemics.

480

481

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Table 1. Evidence for topical intranasal antiviral therapies. (+): yes, there is evidence. (-): no, there is evidence against statement; NS: not studied; adverse reactions tested: mucosal or skin irritation (I), smell and taste disturbance (ST), headaches (H), allergic reactions (A), nasal bleeding (B), fungal infection or colonization (F), and rhinosinusitis (RS); HFMD: Hand Foot Mouth Disease

Agents (antiviral mechanism)	SARS-CoV-2			Other Viruses			Adverse Reactions	Conclusion
	<i>In vitro</i> activity	<i>In vivo</i> activity	<i>Clinical</i> <i>efficacy</i>	<i>In vitro</i> activity	<i>In vivo</i> activity	<i>Clinical</i> <i>efficacy</i>		
Alcohol and Isopropanol (virucidal)	+	NS	NS	+	NS	NS	Y: I NS: ST, H, A, B, F, RS	Alcohol and Isopropanol surface preparations have rapid virucidal effects on SARS-CoV-2 and other viruses, but they can cause nasal irritation. An intranasal swab application has shown antibacterial properties without nasal irritation.
Hydrogen peroxide (virucidal)	+	NS	NS	+	NS	NS	NS: I, ST, H, A, B, F, RS	H2O2 has long been used as a disinfecting agent and has efficacy against SARS-coV-2 and other viruses <i>in vitro</i> . Intranasal safety profile is unknown.
Povidone-Iodine (virucidal)	+	NS	NS	+	NS	NS	Y: I (≥5%), A N: I (≤0.5%) NS: ST, H, A, B, F, RS	Anterior nasal formulations are tolerated well. <i>In vitro</i> preparations have shown rapid virucidal effects to SARS-CoV-2 and other viruses. Human adverse effect profile is incomplete. PI may have ciliotoxic effects and

								smell/taste loss has not been evaluated.
Carageenan (prevents viral attachment)	NS	NS	NS	+	Rhinovirus Enterovirus Influenza	Rhinovirus Rhinovirus	NS: ST, H, A, B, F, RS	Carageenan nasal sprays have shown efficacy in reducing viral loads and symptoms versus placebo in several RCTs. No nasal irritation were noted, but other adverse effects were not evaluated.
Acid-Buffered Saline (virucidal)	NS	NS	NS	+	Rhinovirus Influenza	Rhinovirus Rhinovirus	NS: ST, H, A, B, F, RS	Acid-buffered saline nasal gels have been used in several studies demonstrating ability to reduce viral load and symptoms.
Hypertonic Saline (promotes innate antiviral immune response)	NS	NS	NS	+	Common cold	Common cold	Y: I, H, B NS: ST, A, F, RS	Hypertonic saline irrigation is well tolerated with minor discomfort in many other diseases and has been shown to reduce symptoms, viral shedding, and transmission of the common cold.
Probiotics (may promote innate immunity and antibody production)	NS	NS	NS	+		Rhinovirus Influenza	Y: I NS: ST, H, A, B, F, RS	Nasal probiotics have been shown to be well tolerated in CRS but have not been studied for antiviral purposes. Oral probiotics have shown efficacy in animal and human studies with common upper respiratory viruses
Surfactants/Shampoo (prevents viral plasma membrane fusion)	NS	NS	NS	+	H1N1 Influenza	NS	Y: I, ST NS: H, A, B, F, RS	Surfactant has been shown <i>in vitro</i> and <i>in vivo</i> (lungs) to have antiviral properties. Nasal surfactant or shampoo rinses are usually well tolerated but

								have had reports of nasal irritation and reversible smell loss. Intranasal surfactant efficacy against viruses has not been studied.
UV (virucidal)	+	NS	NS	+	NS	NS	NS: I, ST, H, A, B, F, RS	UV-C radiation is virucidal to SARS-coV-2, but its use intranasally and its safety profile has not been studied. Far UV-C light may be less harmful but retain its antimicrobial properties.
Oxymetazoline and Xylometazoline (unknown)	NS	NS	NS	NS	+	+	Y: I NS: ST, H, A, B, F, RS	Small study shows that nasal decongestant may reduce viral shedding temporarily in rhinovirus. Extended use is known to cause mucosal irritation and rebound nasal congestion.
Interferon (multiple pathways)	NS	+	+	+	+	+	NS: ST, H, A, B, F, RS	Systemic interferon induces multiple side effects, but intranasal preparations have been shown to have antiviral properties and well tolerated. Topical nasal drops were used as prophylaxis in healthcare workers in Hubei, China during the beginning of the epidemic with no infections recorded in this population.

FIGURE LEGENDS

Figure 1. Mind map graphically displaying the ideas and concepts of intranasal drug delivery in the setting of antiviral disease, including SARS-Co-V-2. The central topic has branches extending in a radial fashion to connect subtopics. Each subtopic is connected to key concepts.

Figure 2. Structure of the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).

Reprinted from Shereen, et al. 2020.⁸

Figure 3. The life cycle of SARS-CoV-2 in host cells. ACE2, angiotensin-converting enzyme 2; ER, endoplasmic reticulum; ERGIC, ER–Golgi intermediate compartment. Reprinted from Shereen, et al. 2020.⁸

Figure 4. Gamma camera image information from the nasal cavity superimposed on the corresponding sagittal MRI section presenting deposition two minutes after delivery using: (A) a traditional liquid spray, (B) the breath-powered powder device, and (C) the breath-powered liquid spray device incorporating the same spray pump. Reprinted from Djupesland, et al. 2012.⁷⁹

Figure 5. Potential applications of intranasal drug delivery.

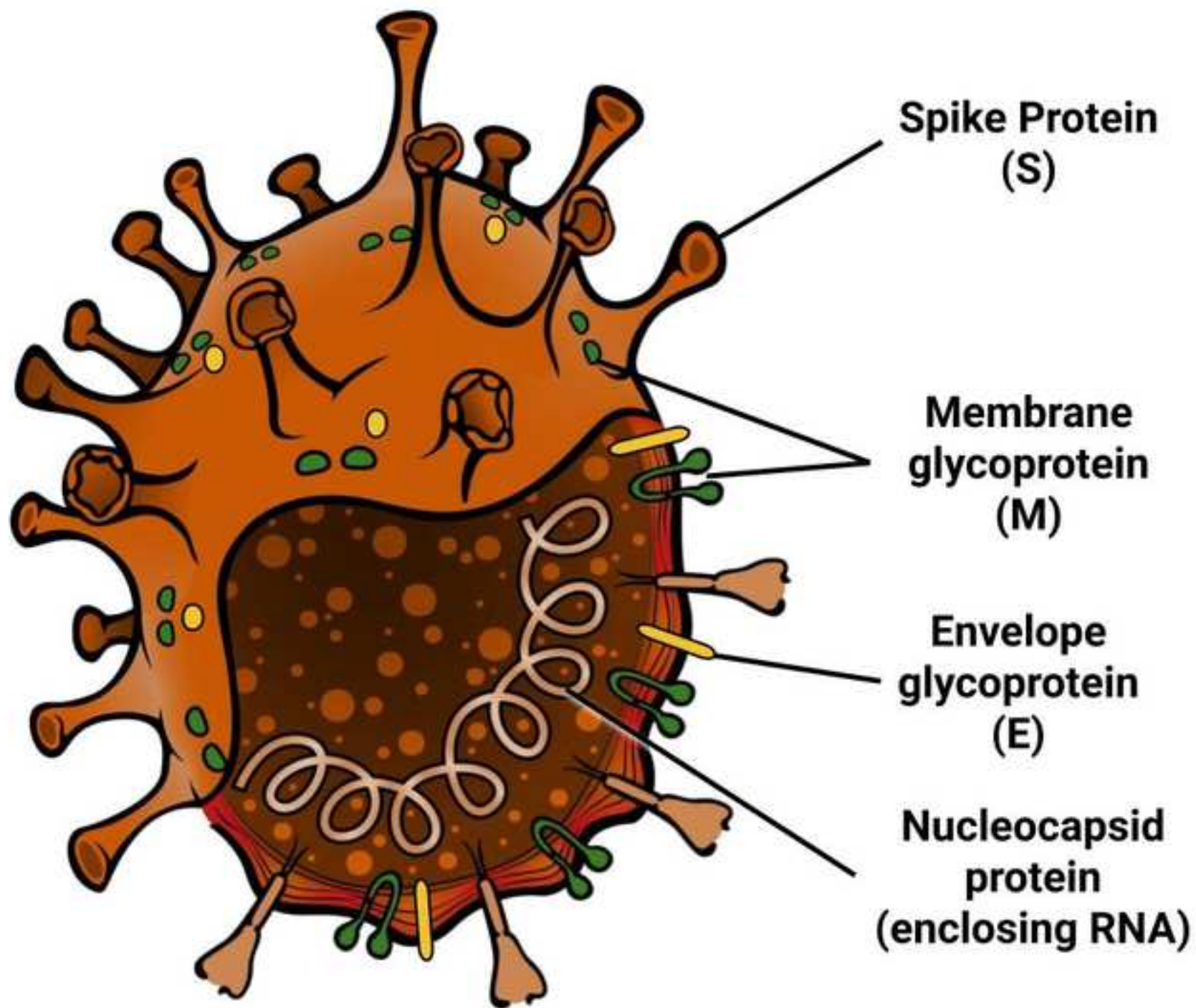


Figure 2

[Click here to access/download;Figure;Figure 2 - Mechanism of SARS-CoV-2.jpg](#)

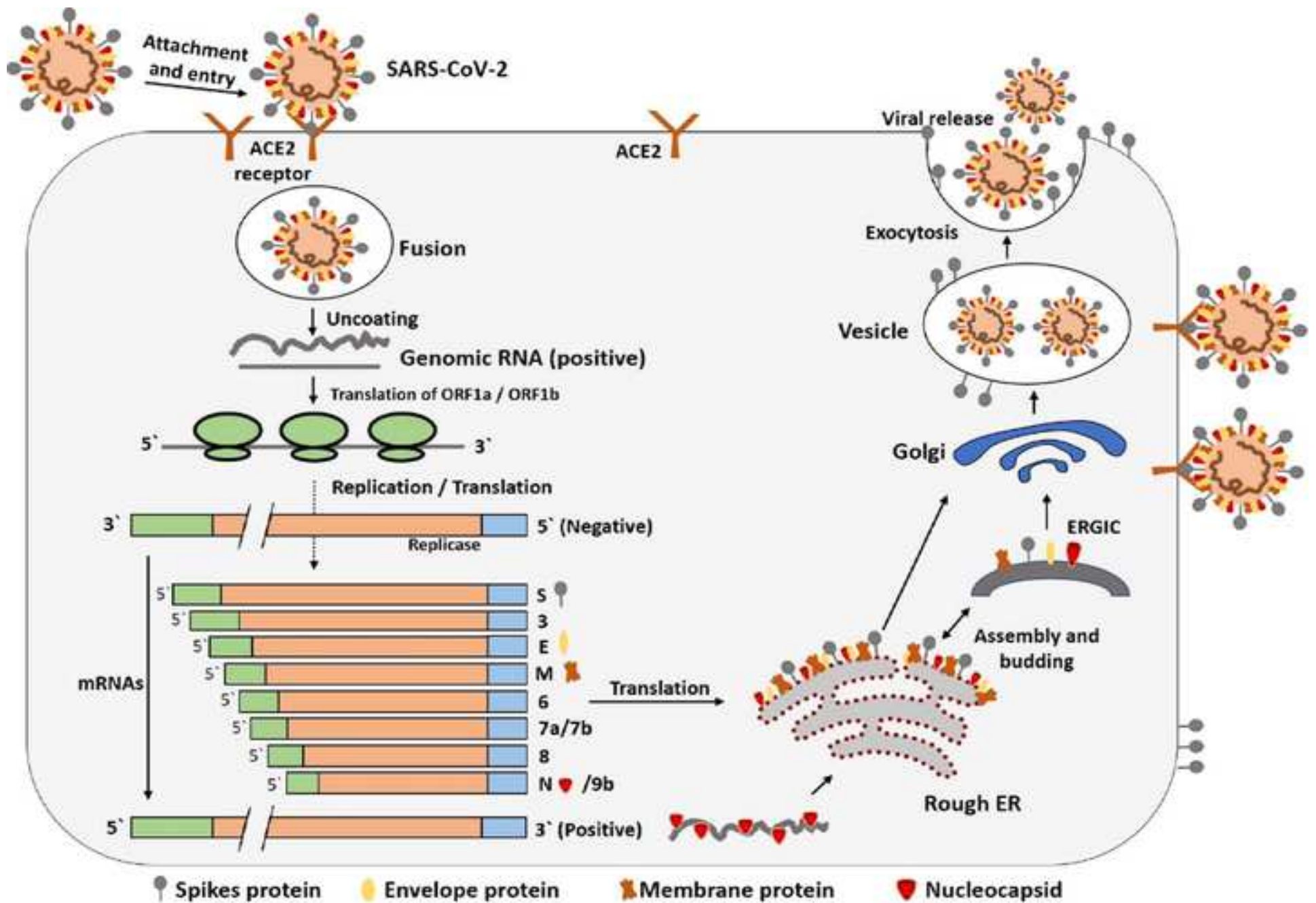
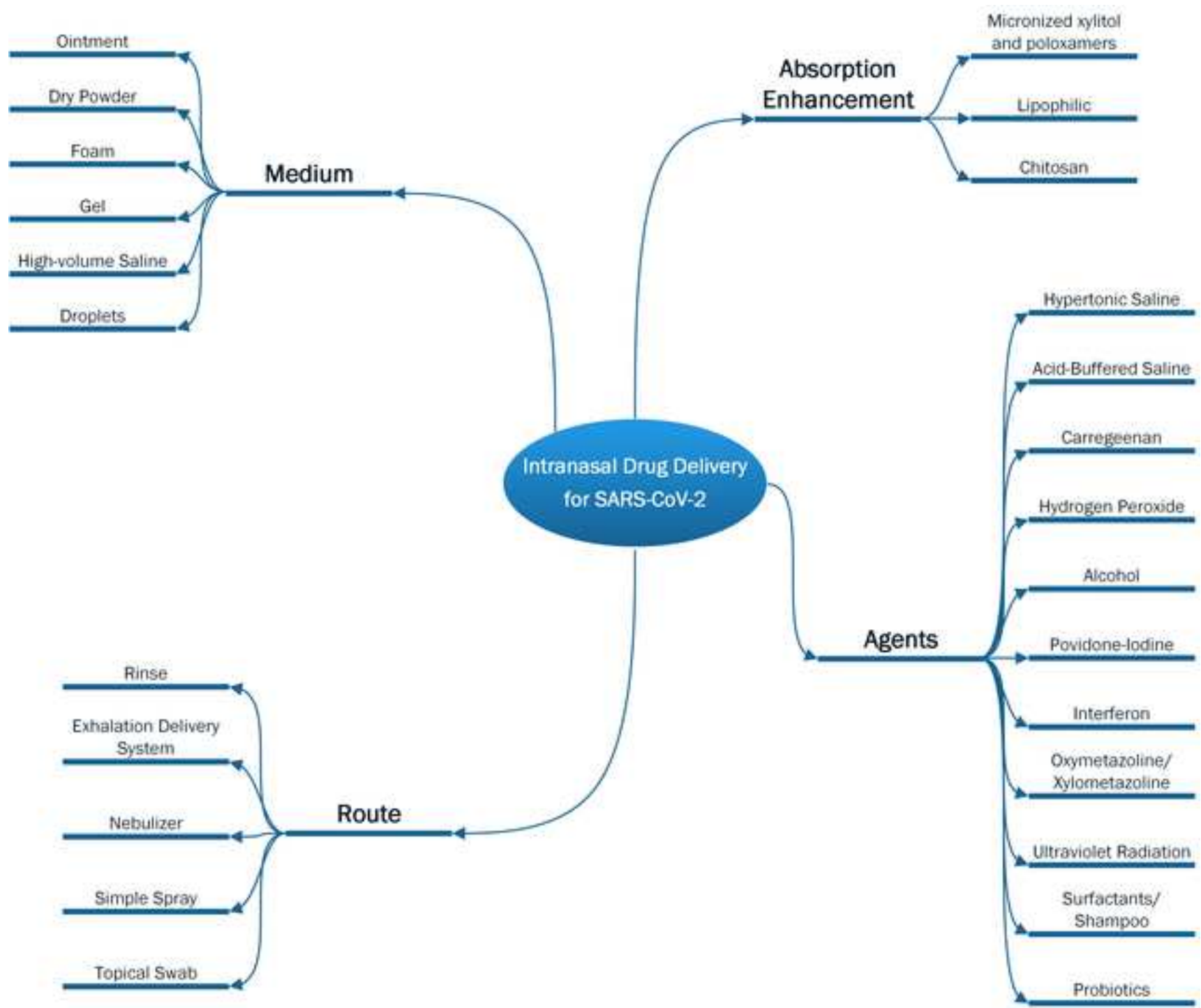
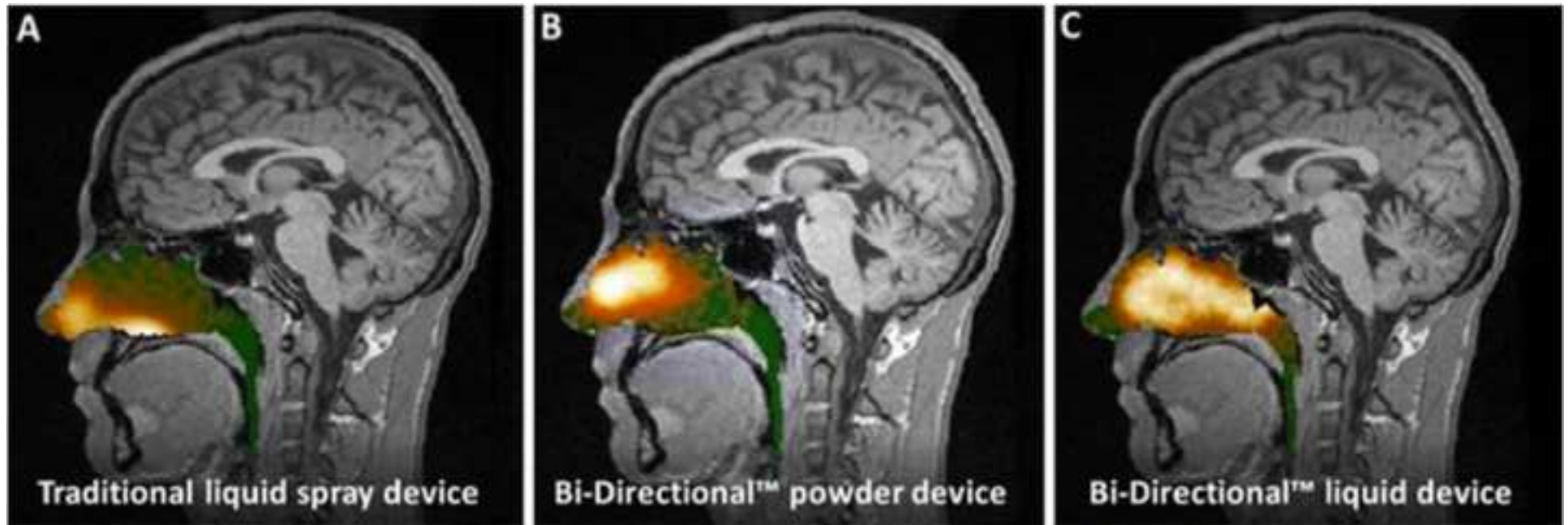


Figure 3





Potential Applications for Intranasal Drug Delivery

