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The Pandemic Effect: Raising the Bar for Ethics, Empathy, and Professional Collegiality

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Invited Commentary

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G. Richard Holt, MD, MSE, MPH, MABE, D Bioethics

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Professor Emeritus and Clinical Professor

10 Department of Otolaryngology-Head and Neck Surgery

11 The University of Texas Health Science Center at San Antonio

12 7703 Floyd Curl Drive

13 holtg@uthscsa.edu

14

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Abstract:

17 The widespread, tragic loss of life and the dedication of health care professionals have
18 characterized the SARS-CoV-2 pandemic. While we mourn the loss of so many Americans to
19 this novel virus, we also much acknowledge the positive effects to our profession, which are not
20 insignificant. We have witnessed our larger community of otolaryngologist-head and neck
21 surgeons pulling together in a manner not heretofore observed by this author. From the local
22 level of practitioners to our national societies, there has been an amazing effort of collegial unity
23 to develop the most clinically relevant guidelines for providing patient care with maximal safety,
24 in the face of little scientific knowledge or experience with this virus. Additionally, we as a

25 specialty and individual otolaryngologists have, through our shared experiences, raised the bar
26 for empathy, ethics, and professional interaction during these difficult times. We must reflect
27 upon our professional growth, and capture this renewal of altruism which lives at the heart of our
28 calling.

29 Commentary:

30 “It was the best of times, it was the worst of times,” from A Tale of Two Cities (1859) by
31 Charles Dickens. My sense is that this phrase could describe the dichotomy of effects of the
32 SARS-CoV-2 pandemic on our profession and our clinical care. Lack of knowledge of the
33 extent of the virus’ epidemiological course, absence of a viable vaccine or proven therapeutic
34 drug, and the requirement to rapidly develop public health protocols across the entire nation in a
35 short period of time forced our health care system into a rapid response mode. Not previously
36 experienced on this scale of challenges, our profession has responded to the crisis admirably,
37 demonstrating the capability for ingenuity and flexibility that well characterizes American
38 healthcare providers. Caught by surprise, we have had to move forward through unprecedented
39 disruptions of routine and traditional patient care to measured response mode, and now to
40 recovery mode. Essentially all aspects of health care have been affected, and while some may
41 not recover for some time, others may emerge with morphological changes that could affect the
42 profession and delivery of health care for some time.

43 The “worst of times” is clearly reflected in the tragic loss of human life, with tens of
44 thousands of Americans succumbing to the virus in spite of heroic intensive care efforts.
45 Victims have primarily been older Americans, often with significant co-morbidities, but also
46 healthy younger adults who for some unknown reason have been susceptible to the pulmonary
47 and systemic effects of the viral infection. Fortunately, children have thus far been generally

48 spared. Among the victims are health care providers whose efforts reflected well on the entire
49 profession. As a nation, and as physicians, we deeply regret the loss of life during this
50 pandemic, which may continue for some time. Yet, while mourning the victims, we must also
51 identify the positive effects that are emanating from the crisis as legacies of this tragic pandemic.
52 From my perspective as a clinician, medical educator, and bioethicist, I believe that the
53 philosophical bar has been raised for all of us with respect to ethical conduct, empathy for
54 patients, and professional collegiality, and that these salutary consequences may allow us to
55 reinforce our appreciation for our patients, our colleagues, our families and friends, and our
56 professional obligations. These may eventually be considered as the counter-positioned “best of
57 times.”

58 Clinical care was dramatically altered in March and April when predictions of the potential
59 devastating toll required a near cessation of normal clinical activities of patient care. We
60 struggled with how to best care for the needs of our patients while still protecting them, our staff,
61 and ourselves. We set aside normal operating procedures in caring for individual patients to
62 observe the protocols of population-based medicine. But in the process, did we actually set aside
63 the ethical principles of autonomy, beneficence, non-maleficence, and social justice? My
64 opinion is that we did not—what we did, and are still doing, was to refocus on how we apply
65 these principles to the care of patients during the pandemic, and perhaps also re-examine their
66 importance in the scope of our professional conduct. Certainly the impact of these dramatically
67 altered clinical requirements has taken its toll on otolaryngologist-head and neck surgeons, yet
68 we must not lose sight of the impact on our patients. Patient autonomy has been severely
69 curtailed—clinic visits for only urgent issues, cessation of non-urgent elective surgeries, and now

70 protracted schedules for resuming “normal” clinic and surgical schedules which certainly must
71 be frustrating to our patients.

72 The normally balanced dyadic relationship between beneficence and non-maleficence has
73 shifted now, perhaps only temporarily, toward protecting patients from the virus and its potential
74 deadly sequelae. Our efforts to provide positive clinical care (beneficence) for our patients, as
75 we normally do, has been redirected, in good part, toward isolating them for their own good
76 (non-maleficence). We must acknowledge the impact the public health protocols have had on
77 our patients, demonstrate to them our empathy for their plight, and exhibit the full extent of those
78 traits which characterize the medical profession on their behalf—compassion, dedication,
79 understanding, honesty, respectfulness, and communication. The ethical principle of social
80 justice, where all patients are treated with the same concern and effort, is now pre-eminent
81 during this pandemic. While these are trying times, they also have provided the opportunity for
82 all otolaryngologist-head and neck surgeons to reflect upon our own capabilities to “raise the
83 bar” on our ethical and professional duties to patients. We are physicians first and foremost, and
84 must never lose sight of why we chose—or were chosen—to become healers.

85 The somewhat “silent” impact of this pandemic on medical students and resident physicians
86 needs to be understood, both the positive and the negative effects. These young professionals are
87 the life-blood of our profession, and must be protected as much as humanly possible. The “great
88 pandemic” of 2020 will have a lasting effect on them, and how we all role-model our behavior
89 and responses will give them insight into the profession’s ethos. As a grade-schooler during the
90 polio epidemic of 1949-1952, I remember parental concerns to protect their children in our rural
91 hometown, as well as the selflessness of the only physician in our community. I also recall
92 standing in line in 1954 with my friends to receive the first Salk injection vaccine. Although we

93 have had sporadic outbreaks of serious infectious diseases in the United States since that time,
94 we have not, as a country, or as a profession, seen this level of alteration to medical education
95 and clinical practice. There are many lessons for physicians-in-training to be learned from this
96 pandemic, and I would proffer that considering the patient's emotional, mental, and physical
97 needs, and providing the best care for them under exceptional circumstances are two very
98 important ones. As we begin to re-establish routine in-person patient visits, we may still be
99 constrained by face masks and gloves, which can limit our ability to convey our concern for the
100 patient through facial expression and approved contact (hand-shakes, pat on the shoulder), so
101 what we say and how we say it to the patient will be important dimensions. It is my contention
102 that the patient is the absolutely best educator for trainees, and any interruption of their ability to
103 interact with patients are lost learning opportunities.

104 An interesting, and perhaps important, off-shoot of limited patient contact during the
105 pandemic has been the expanded use of telephone visits and telemedicine. While we all have
106 utilized telephone conversations with patients for one reason or another, we have not previously
107 explored the full potential for these modalities in the comprehensive care of patients. While
108 video-visits have not been a particularly viable option for my patients in an inner city clinic, I
109 have been rewarded by the positive reception from my patients for telephone discussions.
110 Perhaps the telephonic discussions tend to "level" the perceived inequality of status that may be
111 perceived by the patient in an exam room with a white-coat attired senior physician, or perhaps
112 they feel more comfortable with the more casual interaction with telephone visits. Whatever the
113 reason, my patients have stated their appreciation for the contact, for the discussion, and for the
114 comfort that they have not been forgotten during the pandemic crisis.

115 My final perspective is that I have been very impressed with the professional collegiality
116 among faculty colleagues, community practitioners, academic programs, and national societies in
117 our specialty. Indeed, the level of professional discussions regarding protocols, guidelines,
118 triage, personal protective equipment indications, and so forth has been quite extensive. While
119 the tragedy of lives lost is a terrible burden for the profession, the interactions within our
120 specialty are very special—we are all trying to do the best we can for patients, and in the face of
121 little preparation and knowledge of this virus' epidemiology, collegial spirit has prevailed. All
122 otolaryngologist-head and neck surgeons have essentially found ourselves “in the same boat,”
123 and the level of cooperation to determine best practices and safe protocols is at once amazing and
124 heartening. Ours is a specialty of special physicians, and I believe we have found a new level of
125 unifying spirit that hopefully will continue indefinitely. Let’s reflect on how we have, during
126 this difficult period, raised the bar for ourselves in our ethical conduct, empathy for our patients,
127 collegial interactions with our colleagues, and love for our families.