Title: Pediatric otolaryngology divisional and institutional preparatory response at

Seattle Children's Hospital after COVID-19 regional exposure

Authors: Sanjay R. Parikh, MD, FACS, <sup>1, 2</sup> Randall A. Bly, MD,<sup>1, 2</sup> Juliana Bonilla-Velez,

MD, <sup>1, 2</sup> John P. Dahl, MD, PhD, MBA, <sup>1, 2</sup> Sean S. Evans, MD, <sup>1, 2</sup> David L. Horn, MD,

MS, <sup>1, 2</sup> Kaalan E. Johnson, MD, <sup>1, 2</sup> Scott C. Manning, MD, <sup>1, 2</sup> Henry C. Ou, MD, MA, <sup>1, 2</sup>

Prasanth Pattisapu, MD, <sup>1, 2</sup> Prasanth Pattisapu, MD, <sup>1, 2</sup> Jonathan A. Perkins, DO, <sup>1, 2</sup>

Kathleen C.Y. Sie, MD, FACS<sup>1, 2</sup>

# Affiliations:

<sup>1</sup>Department of Otolaryngology – Head & Neck Surgery, University of Washington

School of Medicine, Seattle, Washington

<sup>2</sup>Division of Pediatric Otolaryngology – Head & Neck Surgery, Seattle Children's

Hospital, Seattle, Washington.

# **Corresponding Author:**

Sanjay R. Parikh, MD, FACS

Seattle Children's Hospital

P.O. Box 5371

Seattle, WA 98145-5005

Phone: 206-987-3468

E-mail: sanjay.parikh@seattlechildrens.org

**Keywords:** pediatric otolaryngology; COVID-19; preparatory response; endoscopy **Conflicts of Interest:** Dr. Randall Bly is co-founder and holds a financial interest of ownership equity with Edus Health, Inc and EigenHealth, Inc. He is Consultant and

holds equity in Spiway, LLC. All other authors have no conflicts of interest.

### Authorship:

Sanjay R. Parikh	Originated idea, co-wrote and edited manuscript.	
Randall A. Bly	Co-wrote and edited manuscript.	
Juliana Bonilla-Vele	ez Co-wrote and edited manuscript.	
John P. Dahl Co-wrote and edited manuscript.		
Sean S. Evans	Co-wrote and edited manuscript.	
David L. Horn	Co-wrote and edited manuscript.	
Kalaan Johnson	Co-wrote and edited manuscript.	
Scott C. Manning	Co-wrote and edited manuscript.	
Henry Ou Co-wr	ote and edited manuscript.	
Prasanth Pattisipu	Co-wrote and edited manuscript.	
Jonathan Perkins	Co-wrote and edited manuscript.	
Kathleen Sie Co-wrote and edited manuscript.		

### 1 Abstract

2 COVID-19 is a novel coronavirus resulting in high mortality in the adult population but 3 low mortality in the pediatric population. The role children and adolescents play in 4 COVID-19 transmission is unclear and it is possible that healthy pediatric patients serve 5 as a reservoir for the virus. This article serves as a summary of a single pediatric 6 institution's response to COVID-19 with the goal of protecting both patients and healthcare providers while providing ongoing care to critically ill patients who require 7 8 urgent interventions. A significant limitation of this commentary is that it reflects a single 9 institution's joint effort at a moment in time but does not take into consideration future

10 circumstances which could change practice patterns. We still hope dissemination of our

11 overall response at this moment approximately 8 weeks after our region's first adult

12 case may benefit other pediatric institutions preparing for COVID-19.

13

## 14 Methods

- Retrospective assessment of a quaternary children's hospital surgical division's
  response to COVID-19.
- 17

## 18 Setting

19 Established in 1908, Seattle Children's Hospital is a 400 bed free standing academic

20 teaching and research hospital located in Seattle, WA. It serves as a quaternary

referral center to the states of Washington, Wyoming, Alaska, Montana, and Idaho,

serving an estimated combined population of 11 million and pediatric population (<18

23 years) of 2.6 million. For this entire region, there are 16 fellowship trained pediatric

otolaryngologists, 10 of which practice full-time at Seattle Children's Hospital.

25

## 26 Coronavirus Disease 2019 Context and Exposures

Coronavirus disease 2019 (COVID-19) is an acute respiratory disease caused by the
newly identified β-coronavirus SARS-CoV-2, or 2019 Novel Coronavirus. The COVID-19
pandemic has been recognized by the World Health Organization as an international
public health emergency.<sup>1</sup> COVID-19 spreads primarily through the respiratory tract by
droplets, secretions, and direct contact.<sup>2</sup> There is emerging evidence that procedures

and exams involving the upper aerodigestive tract are extremely high risk for
 transmission, making otolaryngologists a particularly vulnerable population.<sup>3</sup>
 34

The first case of COVID-19 in the United States was announced on January 21<sup>st</sup>, 2020 35 in the State of Washington. The first death in the United States was in Washington 36 State on February 29th. The earliest infections and deaths affected residents and 37 workers in a senior living facility 12 miles east of Seattle. COVID-19 was declared a 38 39 pandemic by the World Health Organization on March 11, 2020. Washington is 40 currently the state with the second largest number of test-positive COVID-19 patients, after New York. However, the limited availability of testing affects our ability to 41 determine the overall prevalence of COVID-19 infections. On March 4<sup>th</sup>, the first public 42 school system in the state was closed as a response strategy to mitigate exposures and 43 on March 11<sup>th</sup>, almost all school systems were closed. Currently, there are greater 44 than 2,000 regional positive cases but only 2% of these cases are in the pediatric 45 population (Table 1). 46

47

#### 48 Cumulative Response

There were several factors considered in determining the response to this pandemic.
Initially, the response was directed at mitigating exposure to patients and their families.
As the infection spread, it became clear that children were less likely to become
severely ill from the infection. Hence, for pediatric caregivers, the focus shifted to
protection of the healthcare workforce. This is particularly important in otolaryngology
where many procedures involve the upper aerodigestive tract resulting in caregiver risk

55 for exposure. Conservation of personal protective equipment (PPE) was also a

56 significant strategic factor in decision making.

57

58 Hospital traffic

All patients and providers are screened with COVID-related symptom questions and temperature assessment at all entry sites. Multiple sites of entry into the medical center were limited to permit screening at dedicated entrances. Our institution expanded its ICU criteria from 18 to 21 with the goal of level-loading care of younger adults from adult institutions to ours.

64

75

65 Triage of surgical cases

Triage of ambulatory visits

Elective surgical cases have been postponed. Only emergent and urgent cases are 66 being performed. Examples of emergent otolaryngologic cases include management of 67 68 severe airway obstruction, aerodigestive foreign body removal, soft tissue abscess drainage, complications of rhinosinusitis or otitis media, and post-tonsillectomy 69 hemorrhage. Cases are deemed urgent when postponement of surgery could 70 71 negatively impact a child's overall health or developmental outcomes, and a delay of 6 weeks could result in those outcomes being measurably worse. Examples of urgent 72 73 cases include malignancies and complicated cholesteatoma. 74

76 Patients are individually screened by providers and categorized into three tiers:

77 Tier 1: Must be seen in person, clinical issue is urgent, and physical exam essential

78 Tier 2: Appropriate for a telephone or telemedicine visit

- 79 Tier 3: Visit should be rescheduled
- 80

Telemedicine capabilities exist at our institution after being credentialed. We observed 81 that in many instances, a phone call, coupled with photographs that the family sends in 82 83 ahead of time were effective for making an assessment and to determine accurate timing of if/when that patient needs to be seen in-person. Multidisciplinary clinics such 84 85 as Craniofacial, Hearing Loss, Thyroid, and Vascular Anomalies Clinic have continued 86 to hold conference discussions (virtually) about patients previously seen. There is a wide variety of sub-specialization within our group and some diagnoses are more 87 amenable to telephone call than others. Taking that into account, a review of clinic tier 88 categorization data across all providers showed that of 314 planned clinic visits over 10 89 days, 24% were kept as in-person visits (Tier 1), 16% were converted to telephone (Tier 90 2), and 60% were postponed (Tier 3). 91

92

93 Provider deployment

Our standard inpatient team consists of one attending physician, two fellows, two residents, and one nurse practitioner. In an effort to mitigate the risk to trainees, they were placed on a partial deployment schedule such that each trainee could be in the hospital two to three days per week.

98

In response to the COVID-19 pandemic, our current inpatient team consists of oneattending physician, one fellow, one resident, and two nurse practitioners. Given the

reduction in ambulatory clinic volume and surgical cases, we had the ability to identify a back-up attending who is available to support the inpatient team (available within 30 minutes). All other teammates are available to be in hospital within 60 minutes. In addition, four attending physicians reside less than a mile from the hospital and could readily mobilize to assist with acute emergencies. Twice a day tele-conferenced huddles are carried out so teammates in hospital and home can review cases and provide input.

108

Trainees, nurse practitioners, or attending surgeons who develop any symptoms
 suggestive of viral infection are required to self-quarantine and obtain testing for
 COVID-19, following institutional policy.

112

### 113 Inpatient Operative and Endoscopy Procedures

114 Given the uncertainty of potential provider exposure to unknown carrier status of children with COVID-19, particularly in procedures associated with upper airway 115 aerosolization, certain safety measures have been implemented. All OR cases are 116 117 currently being screened for COVID-19 with a turn-around time of less than 24 hours, using a drive through testing process. In the event the urgency of a case does not allow 118 119 for timely testing, the patient will be considered as COVID-19 positive and the 120 procedure will be performed in a negative pressure room with strict isolation precautions that include N-95 masks or a Controlled Air Purifying Respirator (CAPR). Due to the 121 122 potential high risk of viral transmission in certain procedures (e.g. Aerodigestive or 123 rhinologic surgery) and to account for false negative results, these are being done in

negative pressure rooms with full PPE to minimize risk to care team, regardless of 124 COVID-19 status. CAPR is preferred unless it is required for the surgeon to use loupes, 125 a headlight or a microscope, in which case N-95 masks and eye protection are used. 126 Preferential use of the CAPR system is based not only on the increased effectiveness 127 (99% filtration vs. 95% rate with the N-95 masks), but also due to the ability to wipe 128 129 down the face shields (each provider reuses their own) and re-use this system to preserve overall PPE supply. 130 131 132 During the COVID-19 pandemic we are only performing nasal and upper airway

endoscopy procedures when the findings will have a significant impact on patient
management decisions. For inpatient endoscopy, precautions are in effect similar to
those for operative cases. This determination for urgent need is made via collaboration
of the attending surgeon and the consulting primary team.

137

#### 138 Outpatient Endoscopy Procedures

Similar to inpatient care, outpatient endosocopy is only being performed when a patient is deemed as having a critical clinical issue where information gathered on endoscopy will affect patient management decisions. In such a scenario, endosocopy will be performed in a negative pressure isolation room (clinic isolation room, ED isolation room, or operating room). We are not performing endoscopy at any of our ambulatory care facilities. Providers performing endoscopy wear full PPE.

145

146 Impact on Otolaryngology Resident and Fellow Training

While the above measures are designed to optimize patient care and mitigate the risk of 147 exposure to COVID-19, they will have a negative impact on both resident and fellow 148 149 training in Otolaryngology-Head and Neck Surgery. The reduction in ambulatory clinic 150 and operative case volumes significantly limits trainee clinical educational opportunities. 151 One strategy we have taken to address this issue is to involve our residents and fellows 152 in discussions and planning sessions related to COVID-19 preparation and response. We feel this is a unique opportunity for them to gain knowledge in systems based care 153 154 as it relates to crisis and disaster planning. In addition, we are working to develop 155 additional learning opportunities that include simulation (with appropriate social 156 distancing), video based instruction, didactic lectures, directed discussions on research 157 projects and methodologies, as well as providing time to participate in national Otolaryngology educational opportunities. 158 159

## 161 **Conclusion**

- 162
- 163 Our divisional and institutional COVID-19 response is dynamic and rapidly changing.
- 164 Our communication with all surgical specialties and our incident command center allows
- 165 for rapid process improvement. This document represents our cumulative work at 8
- 166 weeks after initial regional exposure. The main interventions include (1) reduced staff
- 167 presence at the hospital when not on call, (2) backup call system with redundancy, (3)
- 168 postponement of all elective cases, clinic visits, and procedures, (4) conversion to
- telephone/telemedicine clinic visits when possible, (5) the use of COVID-19 testing and
- 170 full PPE for all high risk procedures and (6) creating new educational content for
- 171 trainees to supplement the loss of clinical activity.
- 172
- 173
- 174
- 175 **References:**
- 176 1. World Health Organization: Novel Coronavirus (2019-nCoV), Situation Report 11.
- 177 https://www.who.int/docs/default-source/coronaviruse/situation-reports/20200131-sitrep-
- 178 <u>11-ncov.pdf?sfvrsn=de7c0f7\_4</u>. January 31, 2020.
- 179 2. Li Q, Guan X, Wu P, Wang X, Zhou L, Tong Y, et al. Early transmission dynamics in
- 180 Wuhan, China, of novel coronavirus-infected pneumonia. *N Engl J Med.* 2020.
- 181 3. Lu D, Wang H, Yu R, Zhao Y. Integrated infection control strategy to minimize
- 182 nosocomial infection of corona virus disease 2019 among ENT healthcare workers.
- 183 Journal of Hospital Infection. 2020 Feb 27.
- 184

Number of Individuals Tested			
Result	Number of Individuals Tested	Percent of Tests	
Negative	31,712	93%	
Positive	2,221	7%	
Confirmed Cases/Deaths b	y Age		
Age Group	Percent of Cases	Percent of Deaths	
<19	2%	0%	
20-29	9%	0%	
30-39	14%	0%	
40-49	13%	2%	
50-59	17%	5%	
60-69	16%	12%	
70-79	15%	30%	
80+	14%	50%	

- **Table 1.** Washington state COVID-19 exposures and deaths as of March 23<sup>rd</sup>, 2020,
- 190 3:07 pm. (Source: Washington State Department of Health)