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1 **Title:** Flexible laryngoscopy and COVID-19

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26 **Conflict of Interest:** Dr. Anaïs Rameau is a co-founder of MyophonX, a wearable device used  
27 to restore speech in patients with limited phonation capacity. All other authors have no conflict  
28 of interest.

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33 **Authorship Contributions**

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Anaïs Rameau	Originated idea, co-wrote and edited manuscript.
VyVy N Young	Co-wrote and edited manuscript.
Milan R Amin	Co-wrote and edited manuscript.
Lucian Sulica	Originated idea, co-wrote and edited manuscript.

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**Abstract**

Flexible laryngoscopy is the gold standard evaluation of the larynx and the pharynx, and is one of the most commonly performed procedures in otolaryngology. During the COVID-19 pandemic, flexible laryngoscopy represents a risk for patients and an occupational hazard for otolaryngologists and any clinic staff involved with the procedure or endoscope reprocessing. Here we present a set of recommendations on flexible laryngoscopy performance during the pandemic, including patient selection, personal protective equipment and endoscope disinfection, based on a consensus reached during a virtual webinar on March 24, 2020, attended by approximately 300 participants from the American laryngology community.

On March 11, 2020, the World Health Organization declared Coronavirus Disease 2019 (COVID-19) a global pandemic, and by March 26, 2020, the United States became the country with the most known cases. COVID-19 currently reported case fatality rate is significantly higher than that of seasonal influenza.<sup>1,2</sup> This high mortality rate has not spared health care providers, and among those, otolaryngology has been one of the most affected specialties alongside anesthesia, critical care medicine, emergency medicine and ophthalmology.<sup>3,4,5,6</sup> The novel coronavirus is effectively threatening otolaryngologists, their patients and their practices.

67 Increased risk of nosocomial infection in otolaryngology practices is likely due to the fact that  
68 the novel coronavirus is transmitted human-to-human via direct, fomite and droplet contact with  
69 respiratory tract droplets and secretions<sup>7</sup> – all high occupational risks in Otolaryngology. There is  
70 some evidence that transmission of the novel coronavirus may also occur via aerosolization,  
71 congruent with the Severe Acute Respiratory Syndrome (SARS) and the Middle Eastern  
72 Respiratory Syndrome Coronavirus (MERS-CoV) literature.<sup>8,9 10,11</sup> All of the risks of coronavirus  
73 transmissions are present during the performance of flexible laryngoscopy. Transmission via  
74 aerosolization is of particular concern, given that viral loads are highest in the nose after onset of  
75 COVID-19 symptoms and patients may sneeze during endoscope manipulation.<sup>12</sup> Yet, flexible  
76 laryngoscopy is the gold standard evaluation of the larynx and the pharynx, and is one of the  
77 most commonly performed procedures in otolaryngology.<sup>13</sup> During the COVID-19 pandemic,  
78 this critical procedure represents a risk for patients and a high occupational hazard for  
79 otolaryngologists and any clinic staff involved with the procedure or the endoscope reprocessing.

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81 Approximately 300 participants from the American laryngology community met via a virtual  
82 webinar on March 24, 2020 to discuss the impact of COVID-19 on Otolaryngology practice and  
83 to formulate consensus on office and operating room procedures.<sup>14</sup> Along with guidelines  
84 published in the pulmonary and gastroenterology literature, this webinar discussion informs the  
85 following recommendations to protect both patients and health care providers in consideration of  
86 performance of flexible laryngoscopy. Though guidelines for the performance of bronchoscopy  
87 and GI endoscopy in the setting of the SARS and COVID-19 pandemics have been published, no  
88 such guidelines exist for the performance of flexible laryngoscopy in the English language.

89 <sup>15,16,17,18,19</sup> It should be noted that both the American Association for Bronchology and

90 Interventional Pulmonology and the American Society for Gastrointestinal Endoscopy  
91 recommend the performance of endoscopy for suspect cases in negative pressure rooms.<sup>15,20</sup> The  
92 following set of recommendations is limited by the current evidence, and will certainly evolve as  
93 new knowledge is generated.

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- 95 1. Flexible laryngoscopy should only be performed in critical cases and when findings may  
96 have an immediate impact on patient management. Indications include hemoptysis,  
97 odynophagia limiting hydration and nutrition, or airway compromise - notably secondary  
98 to infectious and malignant conditions. Alternatives to laryngoscopy should be  
99 considered (e.g. CT scanning, ultrasound, etc.) for other cases such as work-up of head  
100 and neck mass, lymphadenopathy and mild airway stenosis.
- 101 2. Patients should be screened for fever and respiratory symptoms prior to flexible  
102 laryngoscopy and consideration should be given to testing for COVID-19 prior to the  
103 procedure, with the caveat that the current RT-PCR assay for COVID-19 has a significant  
104 false negative rate.<sup>21</sup> If possible, the exam should be delayed in infected or positively  
105 tested patients until appropriate quarantine has elapsed or the patient tests negative. In  
106 addition to a medical history for typical symptoms and a travel history, a fever  
107 measurement is also recommended. This should be performed prior to the entrance of the  
108 clinic practice.
- 109 3. In communities with high prevalence of COVID-19 infections, suspicion should be  
110 assumed even in asymptomatic patients and proper isolation precautions should be  
111 observed, including limiting the procedure room to essential personnel and performing  
112 the procedure in negative pressure room or designated isolation room.

- 113 4. For patient with suspected or confirmed COVID infections, providers should wear  
114 powered, air-purifying respirator (PAPR) or N95 mask, in addition to standard personal  
115 protective equipment (PPE): eye protection, gown and gloves. Only the most experienced  
116 provider should be in the room, and observers should be excluded to reduce potential  
117 exposures and conserve PPE.<sup>22</sup> Patients should be provided with a surgical mask and  
118 gloves. For patients who are declared COVID-19 negative, N95 masks are still  
119 recommended in case of false negative viral testing.
- 120 5. Anesthetic gels are preferred over atomized or nebulized anesthetics, which may  
121 contribute to viral aerosolization.
- 122 6. Otolaryngologists should keep a distance from every patient during all steps taken before  
123 beginning laryngoscopy, and should practice hand hygiene before and after all patient  
124 interaction and contact with potentially infectious sources.
- 125 7. Laryngoscope disinfection is a prerequisite step for preventing any contagious disease to  
126 other patients, otolaryngologists and their assistants. Though there are no reported  
127 instances of bronchoscope virus transmission, there have been instances of hepatitis B  
128 and C transmission during colonoscopy.<sup>23</sup> Endoscope reprocessing is not standardized  
129 and varies widely, including automated reprocessing, gas sterilization with ethylene  
130 oxide, and chemical reprocessing with isopropyl alcohol, glutaraldehyde, chlorine  
131 dioxide or ortho-phthalaldehyde (OPA). To eliminate viral transmission, high level  
132 disinfection is recommended per local standards and can be achieved with all these  
133 methods except 70% isopropyl alcohol.<sup>24</sup> It is of utmost importance that the handle of the  
134 flexible laryngoscope gets reprocessed as well. Used laryngoscopes should be transported  
135 out of the exam room in closed containers to minimize the risk of direct or fomite

136 transmission. Reprocessing staff must exercise hand hygiene before and after cleaning  
137 laryngoscopes.

138 8. Room sanitization must be practiced after flexible laryngoscopy on patients in confirmed  
139 or suspected infections, with thorough cleaning of all exposed surfaces using an  
140 Environment Protection Agency-registered disinfectant. Studies on the virucidal efficacy  
141 of chemical agents against SARS-CoV-2 are not available, and recommendations are  
142 based on studies done on other coronaviruses. The Joint Task Force of the Chinese  
143 Society of Anesthesiology recommends disinfection with 2 to 3% hydrogen peroxide, 2  
144 to 5 g/l chlorine disinfectant, or 75% alcohol.<sup>25</sup>

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146 Video or telephone consultations have gained traction to support our patients until we return to  
147 the quality and depth of traditional in-person assessment and treatment. Of course, this currently  
148 precludes laryngoscopic evaluation and limits the otolaryngologist's ability to narrow the  
149 differential diagnosis. It should however be sufficient as a screening tool for the identification of  
150 patients with critical needs, such as those with stridor. There is a risk of delayed diagnosis with  
151 not performing flexible laryngoscopy, but that risk is far outweighed by the risk of infectious  
152 spread of COVID-19. Flexible laryngoscopy in the age of COVID-19 requires adaptation. Until  
153 technological advances allow for alternatives to office-based laryngoscopy, our discipline will  
154 continue to require patient visits for complete evaluation, and it is thus imperative we maintain  
155 high standards for the prevention of nosocomial infections and further develop evidence for the  
156 safety of our interventions.

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