1	A Commentary on Safety Precautions for Otologic Surgery During the COVID-19 Pandemic
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41 Abstract:

42 There is insufficient data regarding the safety of otologic procedures in the setting of the 43 Coronavirus Disease 2019 (COVID-19) pandemic. Given the proclivity for respiratory pathogens 44 to involve the middle ear and the significant aerosolization associated with many otologic 45 procedures, safety precautions should follow current recommendations for procedures involving 46 the upper airway. Until preoperative diagnostic testing becomes standardized and readily 47 available, elective cases should be deferred and emergent/urgent cases should be treated as high-48 risk for COVID-19 exposure. Necessary otologic procedures on positive, suspected, or unknown 49 COVID-19 status patients should be performed using enhanced personal protective equipment 50 (PPE) including an N95 respirator and eye protection or powered air-purifying respirator (PAPR, 51 preferred), disposable cap, disposable gown, and gloves. Powered instrumentation should be 52 avoided unless absolutely necessary and if performed, PAPR or sealed eye protection is 53 recommended.

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55 Introduction:

The "Coronavirus disease 2019" or COVID-19, was first reported in Wuhan, Hubei province, China in December 2019 and has since spread exponentially resulting in a worldwide outbreak and a significant burden on the finite resources, including personal protective equipment (PPE), of many healthcare systems.¹ Given the known high viral load in the upper airway of infected patients, the current COVID-19 pandemic presents a significant occupational hazard for Otolaryngologists.² Given this risk, The American Academy of Otolaryngology has recommended all otolaryngologic procedures, particularly those involving the upper airway, be

63 deferred unless deemed medically necessary or until preoperative COVID-19 testing can be64 reliably performed.

65 The majority of otologic procedures are classified as elective and should be postponed 66 during this time; however, a need will remain for some urgent/emergent procedures. Acute 67 mastoiditis with convalescence, complicated mastoiditis, and complicated acute otitis media 68 (AOM) often require prompt myringotomy and ventilation tube insertion and/or cortical 69 mastoidectomy. Other urgent cases include intracranial tumors with brainstem compression, 70 acute facial nerve palsy, advanced cholesteatoma, high-volume cerebrospinal fluid leak, 71 temporal bone malignancy, post-meningitic cochlear implantation and removal of infected 72 implants.

73 It is well-known that viral upper respiratory tract infections (URIs) directly or indirectly result in middle ear effusion/infection via the Eustachian tube. Unfortunately, there is limited 74 75 data on the viral load of the middle ear and mastoid cavity in the setting of a concurrent 76 respiratory infection. To understand the risk of otologic procedures in the setting of an airborne 77 viral pandemic, considerations must be made to both the viral load present in the middle ear and 78 the inherent risk of aerosolization during otologic procedures, particularly with high-speed 79 instrumentation. Table 1 displays compiled recommendations for otologic procedures (elective, 80 semi-elective, semi-urgent, and urgent/emergent) based on the following discussion.

81

82 **Discussion:**

83 Viral load

Generally, AOM represents a respiratory polymicrobial infection of bacterial and viral
etiology. Respiratory viruses have been identified by polymerase chain reaction (PCR) in middle

ear effusions in 55% of cases.³ Viral pathogens have been also found to cause AOM even 86 without nasopharyngeal bacterial colonization.⁴ Certain viruses respiratory viruses, including 87 respiratory syncytial virus (RSV) and rhinovirus, are commonly isolated from the middle ear 88 89 during URIs across studies and there is high concordance (82-98%) of PCR viral results from the middle ear and naxopharynx.^{3,5} Viral cultures may have a lower sensitivity than PCR but are able 90 91 to demonstrate viable micro-organisms. High rates of positive viral cultures from middle ear 92 effusions have been demonstrated with coexisting upper respiratory infections with RSV (74%), influenza (42%), and parainfluenza (52%).⁶ The role of viral pathogens as a primary agent is 93 94 debated, but coexistence of the same URI pathogens in the nasopharynx and middle ear supports 95 the potential for an appreciable viral load in this location of a COVID-19 positive patient.

96 Aerosolization

Aerosolization of the COVID-19 virus may be extremely high during sinonasal and upper 97 airway procedures, particularly when powered instruments are employed.² The exposure risk 98 99 during otologic procedures is unclear, but it stands to reason that virus aerosolization can also 100 occur during middle ear procedures given the continuity with the nasopharynx. As discussed 101 previously, the need for emergent/urgent ventilation tube insertion will continue to be necessary 102 in some cases. This procedure is typically performed with bag-mask ventilation in the operating 103 room; however, the inferior seal with this form of ventilation has the highest risk of generating 104 aerosols. Current Anesthesiology guidelines recommend avoidance of bag mask ventilation or even supraglottic airway in favor of rapid sequence intubation techniques.⁷ Furthermore, 105 106 myringotomy creates an open system with the environment and the nasopharynx, providing 107 another possible route for aerosol generation. Accordingly, we recommend that tympanostomy

tube insertion be performed with endotracheal intubation to decrease the risk of aerosolgeneration.

110 Transmastoid procedures present a heightened risk of aerosol generation and exposure 111 given the standard use of high-speed drills. There is a lack of data on viral exposure risk with use 112 of powered instruments during mastoidectomy. Bone dust generation alone does not meet 113 Occupational Safety and Health Administration criteria for respirator utilization; however, 114 surgical masks are ineffective at preventing inhalation of bone dust particles.⁸ Conversely, the 115 N95 respirator has been shown to significantly decrease particulate exposure in this setting.⁸ 116 Bony microspicules generated with drilling can penetrate the cornea in animal models and serve as a possible vector for viral transmission.⁹ The operative microscope may offer protection for 117 the eyes in practice, but there are no studies to verify this to date and transconjunctival spread of 118 COVID-19 has been reported.¹⁰ Given the risk of exposure to potentially infectious aerosols 119 120 with the use of high-speed drills in patients with COVID-19, we recommend the preferential use 121 PAPR to mitigate both inhalational and transconjunctival exposure. If PAPR is not available an 122 N95 respiratory and eye protection, preferentially in the form of a full-face mask or sealed 123 goggles, should be utilized.

124

125 Conclusion:

There is a scarcity of literature on the viral load in the middle ear/mastoid and the infectious potential of viral exposure during otologic procedures. In the face of the COVID-19 pandemic, necessary otologic procedures on positive, suspected, or unknown COVID-19 status patients should be performed using enhanced PPE including an N95 respirator and eye protection. Furthermore, we recommend the use of PAPR if high-speed drills are required.

- 131 Preoperative testing 48 hours prior to surgery, strict quarantine pending test results, and repeat
- testing on the day of surgery should be utilized for elective/semi-elective procedures.

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 163 secretions of patients with SARS-CoV-2 infection. *J Med Virol*. 2020;(January):1-6.
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167 Table Legends:

- 168 Table 1. Compiled recommendations for otologic procedures during the COVID-19 pandemic.
- 169 VS: vestibular schwannoma, TL: translabrythine, RS: retrosigmoid, MCF: middle cranial fossa.
- 170 Table 1.

Category/Procedures	Recommendation
Examination	Suspected or known COVID-19 patient encounters
Otoscopy, Binocular Microscopy, Cerumen	requiring examination within 3 feet should proceed
debridement	only with enhanced PPE*.
Elective	All elective surgical cases (quality of life-related
Tympanoplasty for dry/stable perforation,	hearing rehabilitation), should be postponed
Stapes surgery, Ossicular reconstruction, Adult	indefinitely pending resolution of the COVID-19
cochlear implantation, Bone anchored hear aid	pandemic in efforts to decrease patient interaction
Semi-Flective	Semi-elective cases in which patient health may be
Performed within 3-6 months	affected by a prolonged delay in care (progression of
Tympanomastoidectomy for cholesteatoma	disease development of complications delay in
with persistent infection or progression	speech development) may proceed following
Pediatric cochlear implantation Bilateral otitis	COVID-19 testing 48 hours prior to surgery, strict
media with effusion in a child. Repair of low	quarantine pending test results, and repeat testing the
flow cerebrospinal fluid leak, Resection of	day of surgery if rapid tests are available.
enlarging VS	
Semi-Urgent	Patients should be medically stabilized prior to
Performed as soon as possible but may be	surgery. When preoperative testing becomes widely
performed in over 48 hours	available, may proceed following COVID-19 testing
Facial nerve decompression for acute facial	48 hours prior to surgery, strict quarantine pending
nerve paralysis	test results, and repeat testing the day of surgery if
High volume cerebrospinal fluid leak repair	rapid tests are available.
Tympanomastoidectomy for complicated	Until preoperative diagnostic testing is readily
cholesteatoma	available. Urgent surgical cases should be performed
Post-meningitic cochlear implantation	under the presumption that patients are COVID-19
IL, RS, MCF approach for intracranial tumors	positive. Enhanced PPE* should be utilized.
With brainstem compression	Clinical staff should be limited to essential personnel
Temporal bone manghancy	(i.e. senior attending anestnesiologist, experienced
	follow, surgical technologist, and registered purse)
	with enhanced PDE* in a negative pressure operating
	room with High Efficiency Particulate Air (HEPA)
	filtration
Urgent/Emergent	Urgent/emergent surgical cases should be performed
Performed as soon as possible, often	under the presumption that patients are COVID-19
requiring treatment within 24-48 hours	positive. All patients presenting with uncomplicated
	acute mastoiditis should be trialed on intravenous
Ventilation tube insertion for acute	antibiotic therapy and monitored closely for
convalescent mastoiditis, complicated	improvement
mastoiditis, complicated otitis media	Myringotomy and tube insertion for complicated OM
	or acute mastoiditis only if patients fail intravenous
	antibiotic therapy or clinically worsen over 24 to 48

Cortical Mastoidectomy for acute convalescent	hours. Enhanced PPE* is recommended and
mastoiditis, complicated mastoiditis	consideration should be made to avoid bag mask
	ventilation in favor of laryngeal mask airway (LMA)
	or intubation (preferred)
	Cortical mastoidectomy with high-speed drill should
	only be performed in patients with complicated
	mastoiditis. Enhanced PPE* is mandatory with a
	strong preference for use of PAPR. Airtight (non-
	vented) eye protection or full-face shield is
	recommended if PAPR is unavailable.
	If enhanced PPE* is unavailable due to limited
	resources and patient is acutely ill requiring only a
	cortical mastoidectomy for acute mastoiditis,
	consideration should be made for Hammer and
	Gouge cortical mastoidectomy.
* Enhanced PDE includes an NQ5 respirator n	lus face shield or nowered air-nurifying respirator

* Enhanced PPE includes an N95 respirator plus face shield or powered air-purifying respirator
 (PAPR; preferred), disposable surgical cap, disposable gown, and gloves.