

# RESPONSIBLE AI PRINCIPLES FOR Reg-ent<sup>SM</sup> CLINICAL REGISTRY

## TRANSPARENCY & DOCUMENTATION

1. Clear documentation of AI processing methodologies
2. Annual reporting on data processing metrics and quality measures
3. Open communication with contributing members about data handling
4. Clear documentation of any data transformation or standardization processes

## SCIENTIFIC RIGOR

1. Evidence-based validation of data processing methodologies
2. Peer review of data analysis protocols
3. Clear documentation of statistical methods and assumptions
4. Regular updates to processing methods based on emerging best practices
5. Commitment to reproducibility in data processing

## OVERSIGHT & GOVERNANCE

1. Regular review of data processing protocols by clinical experts
2. Clear procedures for handling unusual or complex data cases
3. Established quality control checkpoints
4. Regular assessment of processing accuracy and reliability

## QUALITY MANAGEMENT

1. Systematic monitoring of data completeness and accuracy
2. Regular validation of processed data against source records
3. Clear protocols for error identification and correction

## REGISTRY MEMBER ENGAGEMENT

1. Clear communication about AI data processing methods
2. Regular updates on registry operations and improvements
3. Established channels for member feedback
4. Transparency about how contributed data is processed and used

## CONTINUOUS IMPROVEMENT

1. Regular assessment of AI data processing efficiency
2. Ongoing refinement of data extraction methods
3. Investment in improved data standardization techniques
4. Proactive identification of areas for enhancement

## REGULATORY COMPLIANCE

1. Adherence to all relevant healthcare AI data regulations
2. Compliance with research data management requirements
3. Regular reviews of privacy and security requirements
4. Proactive adaptation to evolving regulatory frameworks

## DATA QUALITY & INTEGRITY

1. Ensure accurate extraction and processing of members' clinical data
2. Maintain systematic validation processes for AI-processed
3. Regular quality assurance of AI data processing pipelines
4. Clear documentation of data inclusion/exclusion criteria
5. Standardized processes for handling inconsistent or incomplete data

## DATA PRIVACY & SECURITY

1. Robust de-identification protocols for all processed clinical information when relevant
2. Comprehensive data encryption and security measures
3. Regular security audits of data storage and processing systems
4. Clear protocols for data access and handling

## FAIRNESS & REPRESENTATION

1. Ensure equitable processing of data across all patient demographics
2. Regular assessment of data completeness across different populations
3. Identification and correction of potential systematic biases in data processing
4. Commitment to representative data inclusion from diverse practice settings