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2 **Olfactory dysfunction and sinonasal symptomatology in COVID-19:**
3 **prevalence, severity, timing and associated characteristics**

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Abstract

Objective: Olfactory dysfunction (OD)— hyposmia or anosmia—is a symptom of COVID-19, caused by the novel coronavirus SARS-CoV-2. We sought to better determine prevalence, severity and timing of OD in COVID-19 relative to other sinonasal and pulmonary symptoms.

Study design: Prospective, cross-sectional.

Setting: Regional/cantonal hospital

Subjects: 103 patients diagnosed with COVID-19 with RT-PCR-based testing.

Methods: All patients testing positive for COVID-19 at Kantonsspital Aarau over a 6-week period were approached. Timing and severity (at its worst, on scale of 0 [none], 1 [mild], 2 [moderate], and 3 [severe]) of OD, loss of taste, nasal obstruction, rhinorrhea/mucus production, fever, cough and shortness of breath (SOB) were assessed for each patient.

Results: Prevalence of OD was 61.2% and severity of OD was strongly correlated with severity of loss of taste experienced ($\rho=0.87$, $p<0.001$). OD was experienced on the first day of COVID-19 by 8.7% and overall occurred at median infection-day 3 (mean=3.4, range: 0–12). Most experiencing OD reported anosmia and mean severity of all with OD was moderate-to-severe (mean=2.7, SD:0.6). Nasal obstruction (49.5%) and rhinorrhea (35.0%) were frequently reported but not correlated with OD. SOB was more severe in patients with OD. OD was associated negatively with older age (OR=0.96, 95%CI: 0.93–0.99, $p=0.007$) and positively with female gender (OR=2.46, 95%CI: 0.98–6.19, $p=0.056$).

Conclusions: OD is highly prevalent during COVID-19, occurring early and severely, often in conjunction with loss of taste. OD is associated negatively with older age and positively with female gender. Patients with OD may also experience more severe SOB.

74

75 **Introduction**

76 Coronavirus disease 2019 (COVID-19), which is caused by the SARS-CoV-2 virus,
77 first presented in December 2019 in Wuhan, China and has quickly spread all around the
78 world.^{1,2} Characterized by the World Health Organization as a pandemic and global
79 health emergency on March 11th 2020,³ SARS-CoV-2 has infected millions of individuals
80 and killed hundreds of thousands as we write. COVID-19 is most frequently
81 characterized by symptoms of fever, cough, and shortness of breath as well as
82 constitutional symptoms such as fatigue and myalgias.^{2,4,5} By contrast, although the
83 nasal cavity plays a prominent role in COVID-19—the nasal cavity likely is the site of
84 viral entry and is also the seat of vigorous viral reproduction⁶—initial reports of nasal
85 symptoms in COVID-19 have suggested low prevalence, experienced by less than 10%
86 of infected patients.^{7,8}

87 As the number of COVID-19 cases increased around the world, it has become
88 apparent that sudden onset olfactory dysfunction (OD)—hyposmia or anosmia—may be
89 indicative of COVID-19.^{9,10} The prevalence of OD among COVID-19 patients has been
90 reported to be as high as 85.6% and almost uniformly associated with concomitant
91 subjective gustatory dysfunction/loss of taste.¹¹ Another recent study of 237 COVID-19
92 patients experiencing OD from the American Academy of Otolaryngology—Head and
93 Neck Surgery COVID-19 Anosmia Reporting Tool reported that OD could occur at any
94 time during the course of infection but usually began early in the COVID-19 disease
95 course.¹² The objective confirmation of OD in patients testing positive for COVID-19 has
96 been reported by Moein et al, who used the University of Pennsylvania Smell
97 Identification Test to find 98% of a COVID-19 cohort had hyposmia or anosmia.¹³

98 OD therefore appears to be a highly prevalent symptom of COVID-19 and sudden
99 onset OD should be considered a potential predictor of COVID-19. At present, the time
100 course and severity of OD as well as its association with sinonasal or other symptoms
101 experienced by COVID-19 patients remains incomplete. The objective of our study was
102 to characterize the prevalence, timing and the severity of patient-reported OD, as well as
103 other sinonasal symptoms and their association with the classic symptoms of COVID-19,
104 such as fever, cough and shortness of breath (SOB). In doing so, we hope to shed more
105 light on the pervasiveness of OD and sinonasal symptomatology in COVID-19 and their
106 significance as pathognomonic symptoms of the disease.

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108

109 **Methods**

110 ***Study participants***

111 This study was approved by the institutional review board of the Kantonsspital
112 Aarau (Ethikkommission Nordwest und Zentralschweiz) in Aarau, Switzerland. Patients,
113 receiving their care at the Kantonsspital Aarau who tested positive for COVID-19 at this
114 cantonal hospital between March 3, 2020 and April 17, 2020 were identified and
115 contacted. All patients had been tested for COVID-19 using an RT-PCR-based test. All
116 patients who participated provided consent to participate in this study. All patients
117 were then contacted by telephone up to three times in order to complete the study.
118 Patients who were not reachable with three telephone calls were excluded. Patients
119 who were hospitalized were also approached in person. Patient who were in intensive
120 care units or who were deceased were excluded.

121

122 ***Study design***

123 This was a prospective, cross-sectional telephone questionnaire study of patients
124 diagnosed with COVID-19 at the Kantonsspital Aarau. Demographic characteristics of
125 the participants—age, gender, smoking history, and histories of allergic rhinitis/hay
126 fever, chronic rhinosinusitis, and asthma—were collected.

127 A standardized questionnaire was given to participants. Participants were asked
128 how many days they had been experiencing symptoms of COVID-19 and also how many
129 days into the COVID-19 course that they began to experience OD, specifically. Then they
130 were asked to provide a qualitative assessment of their clinical signs and the exact order
131 in which they had experienced the symptoms. Participants were asked to rate their
132 sense of smell and sense of taste, each at its worst point during the infection compared
133 to baseline, as “normal”, “decreased”, or “none at all”. For participants reporting

134 “decreased” or “none at all”, a follow up question was provided asking how many days
135 after the onset of COVID-19 symptoms any decreased senses of smell and taste began.

136 All participants were then specifically asked about the symptoms of decreased
137 sense of smell, decreased sense of taste, nasal obstruction, rhinorrhea/nasal mucus
138 production, fever, cough and shortness of breath. For each of these symptoms, patients
139 were asked to rate the severity of the symptoms, at its worst during the COVID-19
140 course, on a scale of 0 (none), 1 (mild), 2 (moderate) or 3 (severe)—a scale that was
141 modeled on the validated nasal symptom score.¹⁴

142

143 ***Statistical analysis***

144 All analysis was performed with the statistical software package R (www.r-
145 project.org). Although we offered inclusion of all eligible patients not meeting exclusion
146 criteria, our goal was to include at least 100 participants based on a sample size
147 calculation for prevalence of OD (hyposmia or anosmia) with a conservative *a priori*
148 population prevalence assumption of 0.5 and with marginal error = 0.1.¹⁵ Basic,
149 standard descriptive statistics were performed. A 95% confidence interval for the
150 presence of binomially distributed variables (for example, the prevalence of loss of
151 smell) was calculated using Wilson’s method. Correlation was performed using
152 Spearman’s method. Logistic regression was used to identify factors associated with
153 experiencing OD. In the multivariable analysis, significant predictors were identified via
154 backwards elimination, using a P-value cut-off of 0.100. The final multivariable results
155 were cross-validated by bootstrapping the data over 100 iterations. For each variable
156 retained in the final model, a P-value and a log-odds ratio were calculated.

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158

159 **Results**

160 ***Characteristics of study participants***

161 A total of 103 participants (48.5% male, 51.5% female) were recruited and their
162 characteristics, including percentage of participants experiencing various symptoms of
163 COVID-19, are listed in Table 1. Of all participants, 4 were hospitalized as inpatients and
164 19 had been previously hospitalized for COVID-19 but had since been released. At the
165 time of the interview, 3 participants could not remember or identify when their COVID-
166 19 symptoms started but the other 100 participants reported that their COVID-19
167 symptoms had started a median of 11 days (mean: 12.3 days, range: 0 – 31 days) ago
168 (Figure 1A). The prevalence of patient-reported inflammatory airway conditions was
169 35% for allergic rhinitis, 1% for chronic rhinosinusitis, and 12.6% for asthma.

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171 ***Prevalence, severity and timing of olfactory and gustatory dysfunction in COVID-19***
172 ***patients***

173 Of the 103 participants, 14.6% (95%CI: 9.0% – 22.6%) reported that their sense
174 of smell was “decreased” and 46.6% (95%CI: 37.3% – 56.2%) reported that their sense
175 of smell was “none at all” at its worst during the course of the disease, and when
176 compared to baseline. The prevalence of OD in our cohort was therefore 61.2% (95%CI:
177 51.5% – 70.0%). OD occurred on the first day of COVID-19 for 8.7% of our participants.
178 OD with no other symptoms occurred on the first day of COVID-19 in 2.9% of the cohort.
179 For participants who experienced OD, the OD occurred on the first day in 15.3% (in
180 5.1% on the first day without any other symptoms). Relative to the beginning of COVID-
181 19 symptoms, OD began at a median time of 3 days (mean: 3.4 days, range 0 – 12 days)
182 (Figure 1B). Of the patients who reported some OD, 6.3% stated that the severity was

183 “mild”, 12.7% reported that it was “moderate”, and 81.0% reported that it was “severe”
184 at its worst during the COVID-19 course (Figure 2A).

185 Similar findings were identified for participants’ sense of taste. At its worst
186 during the COVID-19 course 25.2% (95%CI: 17.8.3% – 34.4%) stated that their sense of
187 taste was “decreased” and 39.8% (95%CI: 30.9% – 49.5.4%) reported that their sense of
188 taste was “none at all”. Thus 65.0% (95%CI: 55.5% – 73.6%) reported having at least
189 some decrease in sense of taste during the COVID-19 course. Of the patients who
190 reported some loss of their sense of taste, 10.4% stated that the severity was “mild”,
191 22.4% reported that it was “moderate”, and 67.2% reported that it was “severe” at its
192 worst during the COVID-19 course (Figure 2B).

193 We next checked for correlation between OD and loss of sense of taste. Amongst
194 the entire study cohort, ratings of patients’ sense of smell and sense of taste on the scale
195 of “normal”, “decreased” or “none at all” were strongly correlated ($\rho = 0.86$, 95%CI: 0.78
196 – 0.92, $p < 0.001$). Similarly, the severities of OD and decrease in sense of taste rated as
197 “none”, “mild”, “moderate” or “severe” were highly correlated ($\rho = 0.87$, 95%CI: 0.79 –
198 0.93, $p < 0.001$).

199

200 ***Prevalence and severity of sinonasal symptomatology, fever, cough and shortness of*** 201 ***breath***

202 For the overall cohort, Figure 3 shows the severity ratings of decreased sense of
203 smell, decreased sense of taste, nasal obstruction, rhinorrhea/nasal mucus production,
204 fever, cough and shortness of breath reported by participants at their worst during the
205 COVID-19 course. Of the 103 participants, 49.5% reported at least mild nasal
206 obstruction, 35.0% reported at least mild rhinorrhea/nasal mucus production, 74.8%
207 reported at least mild fever, 68.0% reported at least mild cough, and 46.6% reported at

208 least mild shortness of breath. The mean scores for severity ratings of decreased sense
209 of smell, decreased sense of taste, nasal obstruction, runny nose/nasal mucus
210 production, fever, cough and shortness of breath are shown in Table 2.

211

212 ***Relationship of olfactory dysfunction with other symptoms of COVID-19***

213 We next compared symptom scores of participants with OD compared to those
214 without OD (Table 2). Expectedly, decreased sense of taste was significantly more
215 severe ($p < 0.001$) in patients with OD (mean: 2.5, SD: 0.8) compared to patients without
216 OD (mean: 0.4, SD: 0.9). Although participants who experienced OD generally had more
217 severe symptoms, only shortness of breath was significantly ($p = 0.011$) more severe in
218 patients with OD (mean: 1.2, SD: 1.2) compared to patients without OD (mean: 0.6, SD:
219 1.1).

220 We next sought to understand the incidence of OD with other symptoms of
221 COVID-19. We found that only 4.8% of patients with OD experienced no symptoms of
222 fever, cough or shortness of breath compared to 95.2% of patients with OD who had at
223 least one of these symptoms. We found that 34.9% of OD patients did not experience
224 any symptoms of nasal obstruction or nasal mucus production. Of patients with OD,
225 54.0% experienced nasal obstruction and 34.9% experienced nasal mucus production.

226 Finally, we checked for correlation between the severity ratings of OD, decreased
227 sense of taste, nasal obstruction, nasal mucus production, fever, cough and shortness of
228 breath (Figure 4). As we have already described, the severity ratings of OD and
229 decreased sense of taste were highly correlated. We also found that the severity ratings
230 of fever, cough and shortness of breath were correlated (Figure 4). There was otherwise
231 no evidence of correlations between the symptoms.

232

233 **Associations with olfactory dysfunction**

234 We next sought to determine if any participant-specific characteristics or their
235 COVID-19 course was associated with reporting OD (Table 3). On univariate association,
236 we found that age (odds ratio [OR] = 0.96, 95%CI: 0.93 – 0.99, p = 0.003) was negatively
237 associated while female gender was positively associated (OR= 2.62, 95%CI: 1.13 – 6.05,
238 p=0.024) with reporting OD during COVID-19. These results were confirmed by
239 multivariable analysis which identified age to be negatively associated with OD (OR=
240 0.96, 95%CI: 0.93 – 0.99, p=0.007) and a point estimate suggestive for positive
241 association between female gender and OD (OR = 2.46, 95%CI: 0.98 – 6.19, p=0.056).

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Discussion

COVID-19 has so far infected millions and killed hundreds of thousands around the world, and it remains a global threat.^{3,16,17} Although mitigation and containment strategies have slowly begun to turn the tide of new infections, COVID-19 remains an active global pandemic and some populous areas of the world still are in the early stages of spread.^{18,19} Moreover, even if control of COVID-19 is achieved, there is still fear of a second wave or seasonal bursts of the infection.²⁰ Thus COVID-19 remains a serious threat to healthcare systems and populations around the world, and more knowledge, in particular as it relates to identifying asymptomatic carriers who may be one of the primary means of spread, is necessary.²¹ When COVID-19 first came to light, symptoms of fever, cough and shortness of breath were brought to the forefront as these symptoms were most commonly reported by patients.^{2,4,5} More recently, however, OD has been identified as a symptom of COVID-19 that may have significance in identifying asymptomatic carriers or those with mild symptoms that would otherwise not raise suspicion for COVID-19.^{6,22} Moreover, there is still little reported data on OD in context of sinonasal or other classic symptoms of COVID-19. In our cohort of 103 COVID-19 positive patients, the prevalence of OD (hyposmia or anosmia) was 61.2% and the mean onset was 3.4 days after symptoms of COVID-19 first appeared. OD occurred on the first day of COVID-19 symptoms in 8.7% of participants and was the only symptom on the first day of symptoms in 2.9%. When it occurred during COVID-19, OD was severe in nature and was strongly correlated with a concomitant loss of taste. In contrast to prior studies reporting low prevalence of nasal symptoms, 30-50% of our participants experienced nasal obstruction or rhinorrhea, which they attributed to COVID-19. However, there was no correlation between these symptoms and OD. Of all patients'

268 characteristics as well as characteristics of the patients' COVID-19 course, only older age
269 was negatively associated with having OD and female gender was possibly positively
270 associated with having OD. Interestingly, patients with OD experienced generally more
271 severe SOB compared to patients not experiencing OD.

272 OD is an expected element of coronavirus pathophysiology.⁶ Expression of the
273 SARS-CoV-2 host cell surface receptor, Angiotensin-converting enzyme 2 (ACE2), is
274 highly expressed in nasal mucosa, in particular the ciliated epithelium and goblet
275 cells.^{23,24} Moreover, viral replication appears to be greatest in the nasal cavity, as
276 evidenced by the highest viral titers shed from the nose.²⁵ Finally, coronaviruses have
277 been shown to be highly neurotropic in animal models where olfactory neurons have
278 been shown to be directly permissible to infection.²⁶⁻²⁸

279 The prevalence of OD in association with COVID-19 has been reported in the
280 literature to range from 19.4% to 85.6%.^{9,11,29,30} It has also been shown that the
281 occurrence of OD is correlated with the occurrence of loss of taste.^{11,30} OD has been
282 described to be the first symptom of COVID-19 in 11.8% to 27%.^{11,12} Despite rapidly
283 expanding literature on OD in COVID-19, current knowledge gaps include the exact
284 timing (or time distribution) of when OD occurs, the severity with which it occurs and
285 how its severity correlates to other COVID-19 symptoms, as well as whether there are
286 factors that may be associated with OD.

287 Our study confirms prior studies and extends them to address existing
288 knowledge gaps. Consistent with prior findings, we observed that OD is highly prevalent
289 in COVID-19 and frequently occurs concomitant to loss of sense of taste. We also
290 extended these findings by also showing that the severity of OD is generally quite severe
291 and its severity is correlated with the severity of loss of sense of taste experienced by
292 patients. In our cohort, although the occurrence of OD as the first symptom was lower

293 than previous reports by Lechien et al and Kaye et al,^{11,12} our results were consistent
294 with theirs in finding that OD is generally an early symptom of COVID-19. We also
295 extended these findings by specifically showing the time distribution of incidence of OD
296 in COVID-19. In our cohort, OD occurred with a mean 3.4 days (median 3 days) into the
297 COVID-19 course and it almost always occurred before day 8 of the disease course. We
298 also show for the first time that 30% to 50% of patients attributed symptoms of nasal
299 congestion and rhinorrhea to COVID-19 but that these symptoms did not correlate with
300 OD. Classic COVID-19 symptoms of fever and cough did not correlate with OD either,
301 although patients with OD did have more severe SOB when compared to those without
302 OD. Finally, we identify age and gender as risk factors for OD, with younger age and
303 female gender being associated with OD.

304 The results of our study should be interpreted within the constraints of its
305 limitations. Our cohort size consisted of only 103 patients and all were from one region
306 of Switzerland. We also acknowledge that our study design relied heavily on adequate
307 patient recall and report. However, previous studies of recall bias suggest that recall of
308 disease-specific manifestations (such as symptoms), in particular those related to
309 noteworthy events (such as COVID-19) are generally reliable, in particular for short
310 periods (such as less than a month as we do here).³¹ We also studied subjective reports
311 of OD due to present logistical constraints related to meeting with infected patients to
312 apply objective olfactory testing. Finally, the vast majority of these patients had been
313 experiencing symptoms for less than two weeks and are thus likely still in the midst of
314 the infection. For this reason, we did not study resolution of OD and we also
315 acknowledge that OD could continue to evolve in those patients. Thus it is possible, for
316 example, that our estimates for the prevalence and timing of OD may be underestimated,
317 respectively, than if queried in patients who had completely resolved infections.

318

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Table 1. Characteristics of study participants

	(N=103)409
Demographics	
Age, mean in years, (SD)	
Gender	
Male	48.5%
Female	51.5%
Smoking history	
Never smoker	72.8%
Former smoker	18.4%
Current smoker	8.8%
Comorbidities	
Allergic rhinitis or hay fever	35.0%
Chronic rhinosinusitis or polyps	1.0%
Asthma	12.6%
COVID-19 symptom characteristic	
Days since symptoms started, mean (SD)	12 (7)
Symptoms experienced	
Olfactory dysfunction	61.2%
Gustatory dysfunction	65.0%
Nasal obstruction	49.5%
Mucus production	35.0%
Fever	74.8%
Cough	68.0%
Shortness of breath	46.6%

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Table 2. COVID-19 symptom severity ratings

Symptom severity Mean (SD)	All (N = 103)	Olfactory dysfunction (N = 63)	No olfactory dysfunction (N = 40)	P value¹
Decreased sense of smell	1.7 (1.4)	2.7 (0.6)	0 (0)	< 0.001
Decreased sense of taste	1.7 (1.3)	2.5 (0.8)	0.4 (0.9)	< 0.001
Nasal obstruction	0.9 (1.1)	1.0 (1.1)	0.7 (1.0)	0.172
Runny nose/nasal mucus production	0.5 (0.8)	0.6 (0.9)	0.5 (0.8)	0.908
Fever	1.6 (1.2)	1.7 (1.1)	1.4 (1.2)	0.149
Cough	1.4 (1.2)	1.5 (1.1)	1.2 (1.2)	0.217
Shortness of breath	1.0 (1.2)	1.2 (1.2)	0.6 (1.1)	0.011

¹Comparison of values from patients with olfactory dysfunction to those with no olfactory dysfunction

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Table 3. Factors associated with olfactory dysfunction in COVID-19

	Univariate analysis		Multivariable analysis	
	Odds ratio (95% CI)	P value	Odds ratio (95% CI)	P value
Patient characteristics				
Age	0.96 (0.93 – 0.99)	0.003	0.96 (0.93 – 0.99)	0.007
Gender	2.62 (1.13 – 6.05)	0.024	2.46 (0.98 – 6.19)	0.056
Smoking	0.99 (0.65 – 1.51)	0.978	—	0.457
Allergic rhinitis	1.12 (0.48 – 2.62)	0.801	—	0.949
Asthma	0.58 (0.18 – 1.89)	0.369	—	0.186
COVID-19 symptom severities				
Nasal obstruction	1.50 (0.99 – 2.29)	0.057	—	0.278
Rhinorrhea/nasal mucus production	1.10 (0.67 – 1.79)	0.701	—	0.929
Fever	1.30 (0.91 – 1.86)	0.153	—	0.227
Cough	1.19 (0.83 – 1.69)	0.344	—	0.912
Shortness of breath	1.40 (0.97 – 2.03)	0.076	1.43 (0.95 – 2.14)	0.086

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425 **Figure legends**

426 **Figure 1.** Histogram plots of (A) how long ago participants began experiencing COVID-
427 19 symptoms and (B) how many days into COVID-19 did smell loss begin.

428 **Figure 2.** Bar plots showing the fraction of patients reporting mild, moderate or severe
429 decrease in sense of (A) smell and (B) taste, in patients reporting some decrease in those
430 senses, respectively.

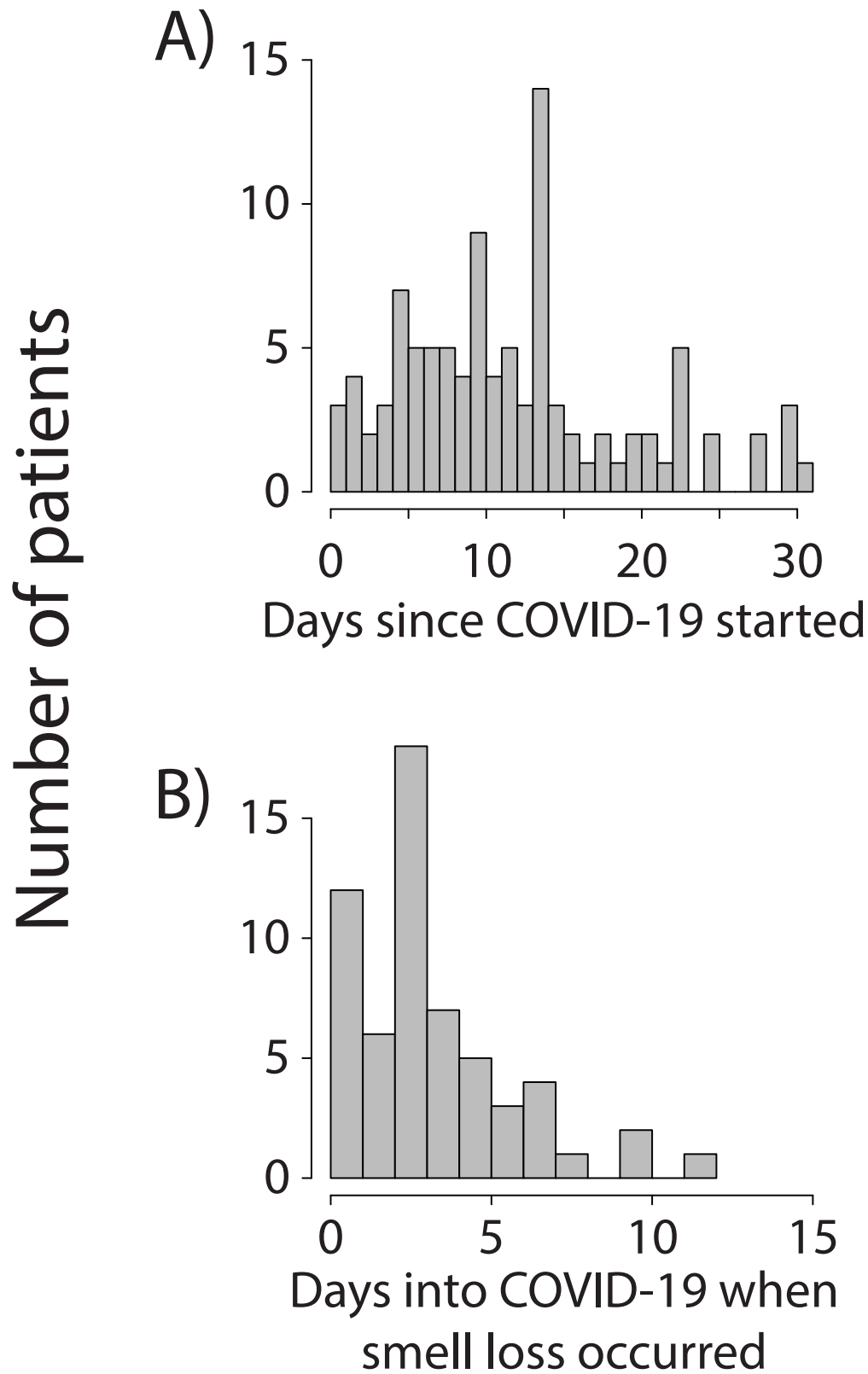
431 **Figure 3.** Bar plots showing fraction of patients reporting mild, moderate or severe
432 symptoms of decreased sense of smell, decreased sense of taste, nasal obstruction,
433 rhinorrhea, fever, cough, and shortness of breath.

434 **Figure 4.** Correlation plot for severity ratings of symptoms of smell loss, taste loss, nasal
435 obstruction, nasal mucus production, fever, cough, shortness of breath.

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Figure 1



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Figure 2

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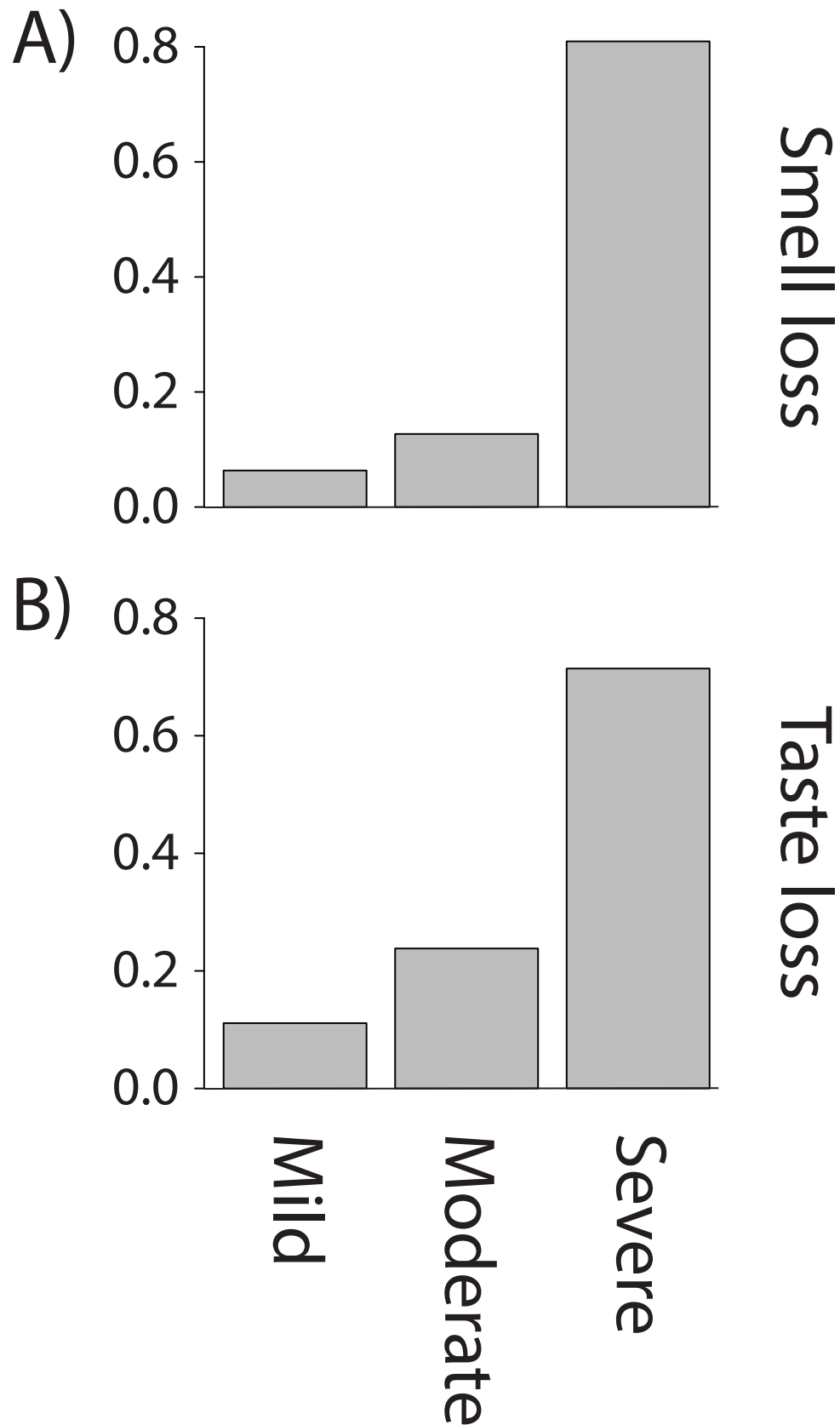
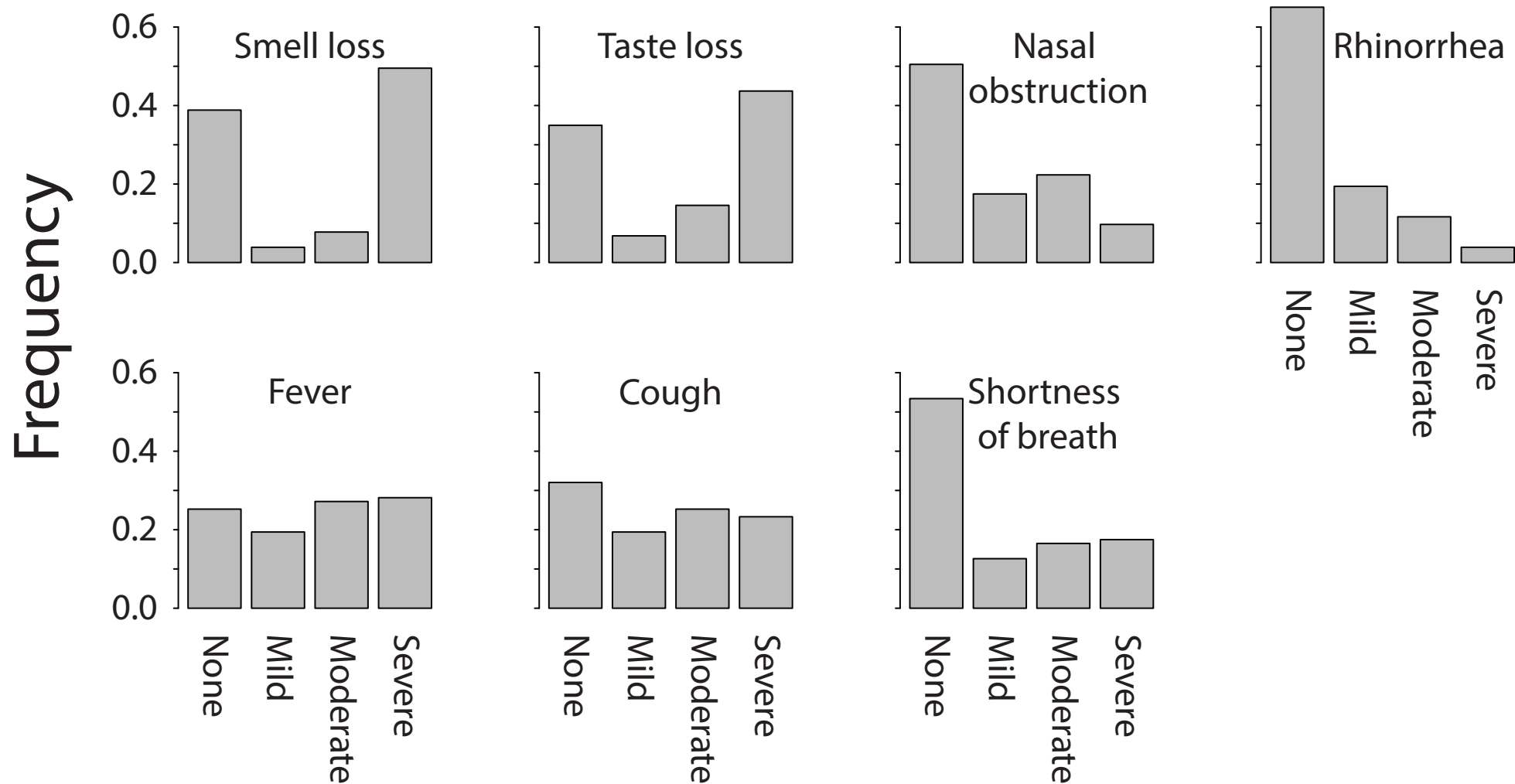


Figure 3

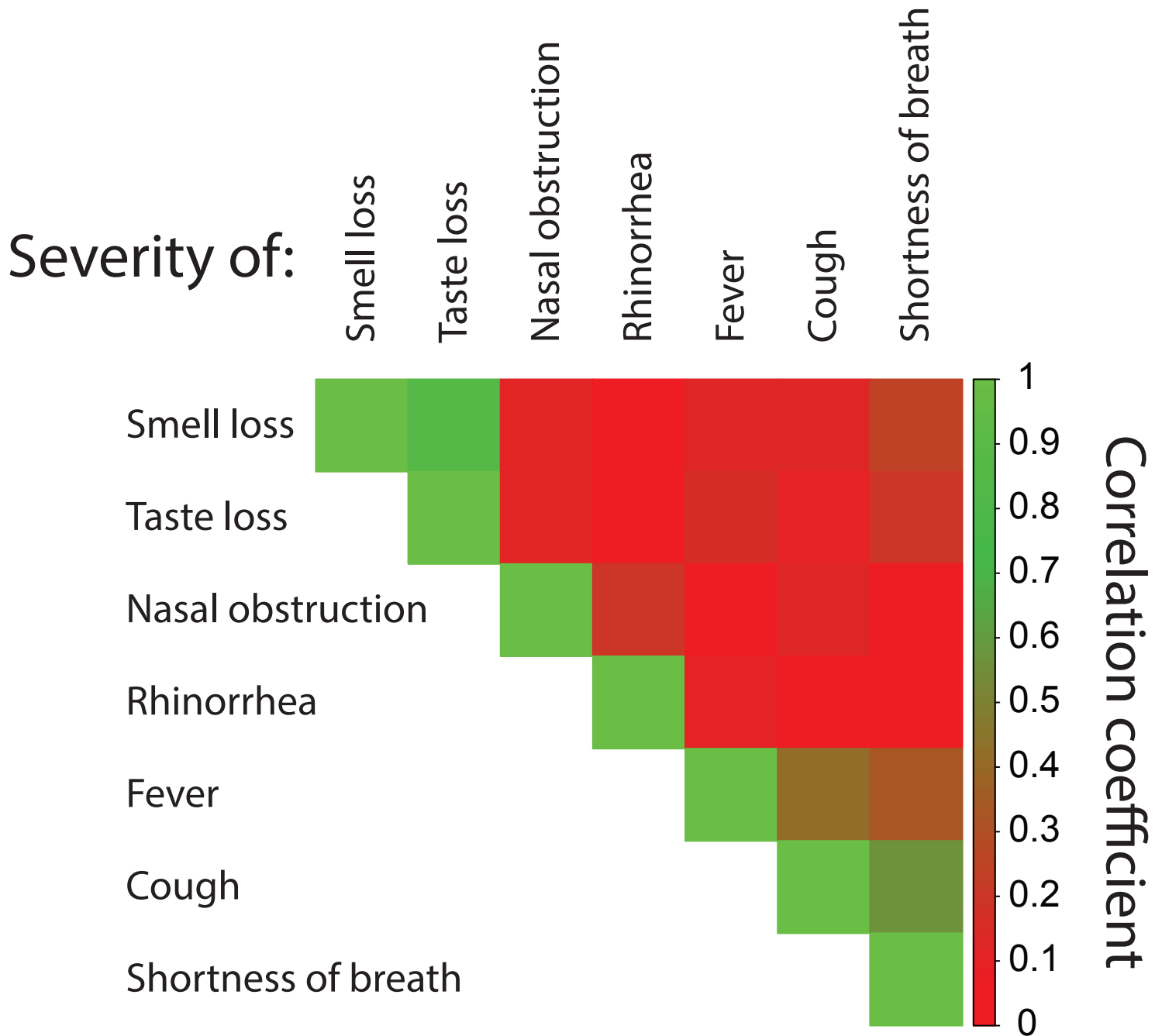
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Figure 4



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